

November 30, 2012

Mr. Don West
Environmental Management Support, Inc.
8601 Georgia Avenue, Suite 500
Silver Spring, MD 20910

Re: Transmittal Letter – Brownfields Area-Wide Planning Grant

Dear Mr. West:

The Enterprise Center, on behalf of the City of Chattanooga, Hamilton County and all key stakeholders, is pleased to present to the Environmental Protection Agency this request for \$200,000 in grant funds to undertake a comprehensive planning process for the 3rd Street Corridor. The Corridor is rich in potential and ripe for redevelopment as a multi-faceted medical cluster that will encompass healthcare delivery, higher learning, research and manufacturing. Anchored by the redevelopment of the Cannon Cumberland brownfield industrial site, such an economic influx would transform an historic but dilapidated commercial/residential district just minutes from downtown into a distinctive livable, mixed-use community.

Chattanooga and Hamilton County are members of a 16-county, three-state, locally funded regional planning initiative which has been awarded Preferred Sustainability Status by the U.S. Department of Housing and Urban Development. Certification for this project is included in our attachments. The Livability Principles are the impetus for PSS; the same principals will serve as a focal point for decision-making in this planning process as well.

1. **Project Summary:** The 650 acre 3rd Street Corridor is an eclectic blend of large institutions, small neighborhoods and historic landmarks. Of the thousands of people who come here to work each day, most are employed by the 813-bed public teaching hospital founded in the late 1800s, or the medical offices nearby. The 327 people who live in the mill houses of Lincoln Park are predominantly African American and low income; the 559 people who live in the Victorian homes of Fort Wood are middle class white. The housing in between includes fraternities and student rentals from the neighboring state university, as well as crack houses and refurbished middle income homes built from the 1920s to the 1960s. The remaining “residents” of the Corridor are students living in campus dormitories. Another 10,000 people live in low-income neighborhoods adjacent to the Corridor

In addition to the 112-year-old hospital, the area includes a Confederate cemetery, an 82-year old dilapidated minor league ball park, and the 17 acre 65 year-old Cannon/Cumberland industrial site, the proposed catalyst for the district’s socio-economic resurgence. Citico Creek, which borders Cannon Cumberland, flows directly into the Tennessee River. It is on the 303(d) list of impaired streams, mostly due to pathogens from old infrastructure. About 65% of Citico

Creek Watershed consists of concrete-lined WPA channels that do not support aquatic ecosystems.

The main thoroughfares through the district are 3rd Street, a major roadway between downtown and east neighborhoods, and the intersecting Central Avenue. A state-funded extension of central Avenue roadway will prove transformative for the district, as it will bring motorists from Interstate 75 to Amnicola Highway. The Central Avenue extension, plus ambitious growth plans for the University and the Medical Center and plans to restore the ballpark as a tourist attraction equals inevitable change for the Corridor. The goal of this planning process is to bring all public and private stakeholders to the table to ensure that "inevitable" also means "desirable."

In the summer of 2012, representatives from the University, the Medical Center, the ballpark and the two neighborhoods came together to talk about how to integrate planning processes. With support from both City and County Mayors and the Regional Planning Agency, a cohesive initiative has emerged.

Once labeled by EPA as the dirtiest city in America, Chattanooga today enjoys international acclaim for successful downtown revitalization. The 3rd Street Corridor project will draw upon the same community-based planning process that has transformed Chattanooga.

Regards,


J. Wayne Cropp

1. **Applicant ID:**
The Enterprise Center, Inc.
1250 Market Street
Chattanooga, TN 37402
2. **Applicant DUNS number:**1496496700000
3. **EPA Cooperative Agreement funding amount requested:** \$200,000
4. **Project Area Location and Description**¹
Tennessee: Hamilton County (340,855); Chattanooga (170,136); Project area (12,312)
5. **Project Contacts:**

Project Director:	Dir, Urban Design, Community Planning:
J. Wayne Cropp, CEO Enterprise Center	Karen Hundt, Regional Planning Agency
Contact info: 423.425.3770	423.643.5913
6. **Date Submitted:** 11/30/2012
7. **Project Period:** Fall 2013 – Fall 2015

¹ 2011 estimate, United States Census Bureau Quick Facts, updated Sept 2012

The Need for Planning - The Corridor project team delineated a 1.02 sq. mile **Planning Zone** in order to provide focus during visioning and design and to concentrate public and private investment during implementation. It is an area where change is already imminent, which makes the need for planning urgent. A comprehensive planning process will provide the framework to manage change so that the end result produces outcomes that support the existing community and that are in keeping with the needs, vision, and values of those who live and work in the corridor and surrounding low-income neighborhoods, as well as the community as a whole.

Erlanger Medical Center and UTC have recently completed separate planning initiatives to accommodate their growth over the next several decades. UTC, the fastest growing university in Tennessee, expects to reach 15,000 students on its land-locked urban campus by 2015. Erlanger, a teaching hospital which serves as the regional Level I Trauma Center for 50 surrounding counties, has plans to add a new Regional Cancer Center, a Health Education Center and a new Women's and Children's Hospital.

UTC and Erlanger are jointly developing a plan to open a new branch of the UT College of Medicine (UTCOM), a four year degree which will complement the teaching program already underway at UTCOM. In addition, private developers are currently seeking funding for a new medical facility at a location yet to be determined. This is one of a number of possibilities for the Cannon/Cumberland site that could transform the abandoned manufacturing facility into a jobs producing economic asset. Other potential brownfield sites located in the 3rd Street Corridor that have yet to be identified.

Central Avenue, which intersects with 3rd Street, will soon become a major East-West thoroughfare when a state-funded extension connects motorists from Interstate 24 to Amnicola Highway/Riverside Drive (State Highway 58) by the Cannon/Cumberland brownfield redevelopment site. Preliminary project design will begin in mid-2013. Opening a direct route from Amnicola/Riverside Drive to Erlanger via the Central Avenue extension will reduce vehicle travel times to the medical center for most of the 100,000 patients who annually seek emergency care.

Planning is also underway for one of Chattanooga's most notable historic landmarks, Engel Stadium. The 82-year-old minor league ballpark has fallen into disrepair since the Triple A Chattanooga Lookouts built a new stadium in 1999. The Engel Foundation intends to restore it as a venue for sports and entertainment and tourism venue. Recognizing the need to involve all area stakeholders in their planning process, the Engel Foundation convened the Engel District Task Force in the summer of 2012. Task Force members, many of whom are now members of the 3rd Street Corridor initiative, quickly realized the need to create an area-wide plan, one that would unite disparate planning initiatives into one cohesive, comprehensive framework.

Criteria 1: Community Needⁱ

i Economic Concerns - The 1.02 Sq. Mile or 650 acre 3rd Street Corridor is an eclectic blend of large institutions, small neighborhoods and historic landmarks. Of the thousands of people who come here to work each day, most are employed by the 813-bed public teaching hospital founded in the late 1800s, or the medical offices nearby. The 327ⁱⁱ people who live in the post WWII houses of Lincoln Park are predominantly African American and low income. The 559 people who live in the stately Victorian homes of Fort Wood are middle class white. The housing in between includes fraternities and student rentals from the neighboring University of Tennessee at Chattanooga (UTC), as well as crack houses and mixed income homes. The remaining 1117 "residents" of the Corridor are UTC students living in campus dormitories.

In general the Planning Zone lacks many of the features of today's urban mixed used community. The need for housing options in the area will grow with the expansion of the hospital, the university and other new businesses moving into the area. Yet, the current inventory of housing stock is limited to single family homes. The area lacks retail and a strong recreational draw. The only retail establishment is McDonalds. Without access to healthy food, the area has been designated as a food desert. There are no recreation options other than a small passive greenspace in Lincoln Park which remains largely unused. Finally, most of the building structures in the Planning Zone were constructed pre-1960, effectively reducing their energy efficiency and increases their environmental impact. Infrastructure in the area is due for an upgrade.

The housing, jobs and business that arise from the planning process will benefit not only the **Planning Zone** but also the surrounding neighborhoods of the **Impact Zone** as described below.

Planning Zone Profile - Stately Victorian homes sit atop the hill where the civil war-era Fort Wood once stood. Residents of this predominantly white (63.76 %) middle class community (2005-09 ACS) share concerns about the encroaching campus on one side and the extension of Central Avenue on the other. Not surprisingly, the homeowners here are attentively engaged in community issues.

Lincoln Park sits between the Erlanger campus, the Cannon/Cumberland industrial site and the regional maintenance yard for Norfolk Southern and CSX railroads. Most of the small homes were built post WWII for returning veterans, many of whom worked for the railroads. Today this close-knit predominantly African American community has a median household income of \$21,823.00 (2005-09 ACS). Lincoln Park is representative of the low-income and disparate communities which make up much of the Chattanooga inner-city. In the late 1990s, Lincoln Park residents mounted a successful campaign to stop Erlanger from expanding into the neighborhood. The hospital agreed to a 50-year moratorium on development. However, residents today are concerned about the extension of Central Avenue, which will run between Lincoln Park and Erlanger to Riverside Drive beside the Cannon/Cumberland brownfield redevelopment site.

Impact Zone Profile - The housing, jobs and business that arise from the planning process will benefit neighborhoods surrounding the Planning Zone. The **Impact Zone** primarily consists of Avondale, Bushtown, Glenwood, Orchard Knob, and East Chattanooga. Most of the 10,000¹ people living in these neighborhoods are low-income with 35.66% of the population living below the poverty level². While Chattanooga has experienced job growth over the last decade, 14.3% unemployment in these neighborhoods is higher than 7.5% for Chattanooga due to an estimated 20%² of the population over 25² having no high school diploma or equivalency. The Tennessee Department of Education has listed Orchard Knob Elementary, Orchard Knob Middle and Brainerd High School as among the worst-performing schools in the state.³ These three schools serve the estimated 1,500 children living in the project's Impact Zone.² In addition to low educational attainment, jobs are generally located away from this area in parts of the city which are difficult to reach via public transportation.

While access to recreation and green space currently available for use is estimated to be around 5.03 acres per 1000 people in the Impact Zone (Chattanooga-Hamilton County Health Department), current activities have been under way through a grant funded by the Robert Wood Johnson Foundation to address health, recreation and lifestyle issues in Chattanooga's lower income communities. Health indicators within these neighborhoods are troubling. In 2004, Hamilton County conducted a Behavioral Risk Factor Surveillance Survey (BRFSS) with the aim of determining the extent of various health risks within the community. According to this data, 71% percent of Chattanooga's East and South side population were overweight or obese. Hypertension affected 43.2% of residents, and high blood cholesterol 42.7%. Nearly 30% were physically inactive and only 21.9% consumed five or more servings of fruits and vegetables daily. Nearly 15% suffered from diabetes. Countywide, the trend toward obesity has steadily progressed, from 20% of adults in 1999 to 27% in 2007 (BRFSS). In 2008, Body Mass Index data collected on over 680 Head Start children in Hamilton County revealed that 30.9% of the children are at-risk for being overweight, with approximately 15% in the 95th percentile or above. Not surprisingly, there are no full-service grocery stores in the Planning and Impact Zones, a food desert area.

Data Sources*	Project/ Impact Zones	Chattanooga	County	Tennessee	USA
Population	12,312	170,136	340,855	6.4 million	311 million
Population below poverty	34.5	20.4	14.72	18.3*	
White	38.69	58	75.8	79.5	78.1
Black	56.55	34.9	20.2	16.9	13.1
Hispanic/Latino	2.08	5.5	4.6	4.7	16.7
Median household income	20,405	36,675	45408	43314	51914
Unemployment rate	14.3	7.5	7.1	8.2	7.9
High school graduates	38.65	82.6	85.4	82.5	85.0
Bachelors or higher	11.54	24.7	27.0	22.7	27.9
Home ownership rate	28.57	55.2	65.5	69.6	66.6
Median home price	\$86,867	\$130,600	\$147,200	\$134,100	\$188,400
Est. Foreclosure 07-08	6.2%	4.1%	3.5%		

**All data provided by the Hamilton County Health Department from local studies as well as the U.S Census 2010 and American Community Survey and the Bureau of Labor Statistics.*

Drawing on Other Resources – Local resources will be used to conduct basic planning and conceptual design as well as community outreach for the Corridor project. The City and County Mayors have instructed the jointly funded Regional Planning Agency to add the Corridor project to their roster for 2013. The Engel Foundation has pledged \$40,000 to contribute toward the effort. Additional funds will most likely come from key stakeholders, local government and area foundations. While local commitment to the project is worthy and appropriate, it will not be sufficient to address the level of detail needed to fully prepare for implementation, especially concerning the economic and other analysis needed for the Cannon/Cumberland site. Funding from the Area-Wide Planning grant would fill the gap. Funding for implementation will be sought from private developers, government agencies and philanthropic organizations as appropriate.

ii Serving Residents – Clean-up of the Cannon/Cumberland industrial site and Citico Creek will benefit not only the people who live and work in the Planning Zone, but also those in the surrounding Impact Zone and the community as a whole.

Twin branches of Citico Creek wind their way past homes, businesses, parks and schools in neighborhoods and business districts where some of Chattanooga's most vulnerable citizens live, work and play. The Creek, which is on the EPA's Impaired Waters, Rivers, and Streams list contains known nitrate/nitrite contaminants. Based on 2010 Census Blocks we estimate 2242 residents live within .25 miles of the north and south branches of the Citico Creek. The Creek also flows past an elementary and middle school, a recreation center, and through several neighborhoods. Citico eventually empties into the Tennessee River, the main source of drinking water for the community.

Just before Citico Creek reaches the Tennessee, the branches join together as they flow past the Cannon/Cumberland brownfield site. The 65-year-old manufacturing facility housed a saw mill in its early operations, and later a metal plating operation. The last operator at the site, Cannon Equipment, was a Large Quantity Hazardous Waste Generator of F006 sludge. This sludge contained metal hydroxides, chromium and cyanides. Other wastes generated at the site included paint waste, used oil, PCBs from light ballasts and mercury from light bulbs. Additionally there was an on-site waste water treatment plant. While the manufacturing operation is now closed, water runoff from the site still flows to Citico Creek.

iii Other Economic, Social and Health Concerns - The Cannon/Cumberland catalyst brownfield site effectively serves as a physical barrier between the 3rd Street Corridor and the Tennessee Riverpark 0.35 miles away. Thousands of people each year visit the Riverpark to walk, cycle, picnic and enjoy other outdoor leisure activities. The Riverpark and its popular 13-mile Riverwalk have been designated as one of 51 *Americas Great Outdoor Spaces* by the Department of the Interior. "The Riverwalk's focus on protection of and education about wildlife and riparian ecosystems has been a catalyst in the community's environmental

awakening and has helped make Chattanooga an environmental and outdoor recreational showplace in the Southeast.”¹ The physical presence of the Cannon/Cumberland site impedes access to this valuable public resource for residents of the Planning and Impact Zones.

Criteria 2: Project Description

i. Catalyst Site Description - The 65-year-old Cannon/Cumberland manufacturing facility was once a saw mill, and later a metal plating operation. The last operator of the 17 acre site, Cannon Equipment, was a Large Quantity Hazardous Waste Generator of F006 sludge. This sludge contained metal hydroxides, chromium and cyanides. Other waste generated at the site included paint waste, used oil, PCBs from light ballasts and mercury from light bulbs. Additionally there was an on-site waste water treatment plant.

ii a Project Tasks -

Task One: Project Management - The Enterprise Center has created a Brownfields Area-Wide Planning Partnership approach to this project. It is comprised of: (1) a Leadership Team of community leaders and primary stakeholders; (2) a Project Management Team consisting of The Enterprise Center and the Chattanooga/Hamilton Regional Planning Agency and consulting resources; and (3) a Technical Resource Team of local specialists in project content areas.

The Leadership Team will be responsible for ensuring that the planning process is completed according to the parameters outlined by the members. Their work will be supported by the Project Management Team, who will be responsible for daily management of the project to ensure that the work is completed on-time and on-budget. Project Management Team activities include managing meetings, providing data, research and best practices information, facilitating community engagement and outreach activities, securing the consultant, as well as identifying additional project funding, grant administration, reporting, and other administrative duties.

Budget – This task is comprised of personnel costs and its related fringes. The time it takes to engage and educate the Community Stakeholders Leadership Team, the Technical Resource Partners will impact labor costs. Creating the consulting Request for Proposals will also involve direct labor costs. Total direct personnel and fringes are \$7,680. Some printing costs and mileage costs (charged at the IRS mileage standard) will be incurred totaling \$2,000 and \$2,000, respectively.

Task Two: Community Involvement - Chattanooga is widely-known for the community-led planning initiatives that have resulted in the transformation of the city. The process of convening ordinary citizens to envision and design a desired future is now known as “The Chattanooga Way.” Citizens have redesigned the downtown and North Shore districts, and area neighborhoods, as well as master plans for public art, recreation, education, preservation of natural assets and other critical community needs. A recent example is the \$120 million 21st Century Waterfront Plan which was designed by the community and implemented through

¹ America’s Great Outdoor Spaces Fifty-State Report, Nov 2011, p 91

public (\$60 million) and (\$60 million) private investment. This planning process will be no different.

To secure active involvement from Planning Zone residents and workers as well as the greater community, the Project Team will utilize the following:

<ul style="list-style-type: none">• Project branding and identity• Door-to-door outreach• Project website and social media• Media alerts and press releases	<ul style="list-style-type: none">• Public education forum• Public visioning session (1) and design charrette (1)• Design review and comment forums (2)• Final presentation to key stakeholders, government leaders and the public (1)
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Budget – In this task The Enterprise Center will participate in community outreach efforts. The Enterprise Center will assist in the creation of educational materials and the project website. Total direct personnel and fringes are \$7,040. Handouts will be prepared. \$5,000 is budgeted for supply items needed to prepare and disburse educational information. Travel to these meetings is a necessity. Mileage reimbursement of \$1,000 is included in the budget. This task will include \$27,500 in consulting activities. The Enterprise Center will launch a communications plan to engage and maintain a high level of community participation throughout this grant.

Task 3: Existing Conditions Research -The Project Management Team will develop a comprehensive plan that focuses first on the catalyst brownfield site – the Cannon Equipment/Cumberland Corporation site – that forms the basis of the economic and community development opportunities that will be created by accessing the catalyst site. Initial focus will be on developing greater understanding of the environmental conditions at the catalyst site.

The Central Avenue Roadway Extension will be managed by the City of Chattanooga, utilizing federal U.S. Department of Transportation (USDOT) funding. A \$700K design study is planned for the roadway extension, including the catalyst site. Additionally, Cannon Equipment plans to conduct a Phase II Environmental Site Assessment (ESA) during the first quarter of 2013. The catalyst site ESA and the Design Study will provide a rich data set regarding the known environmental conditions at the subject site.

While priority will be placed upon the catalyst brownfield site, the Project Management team will identify and analyze environmental conditions in the Planning Zone. This includes an identification of other potential brownfield sites; identification of historical sites and structures; development of an analysis of blighted or vacant properties; an understanding of area properties that are in foreclosure; mapping of streams, the 100 year flood plain, and known wetlands in the study area; as well as a study of open space and canopy cover. Emphasis will be placed upon an understanding of the causes and restoration techniques for any impaired waters or other environmentally challenged conditions in the subject area.

As a part of the analysis task, the Project Management Team will review existing institutional master plans and land use plans. This includes UTC's Growth Plan, Erlanger's Master Plan, Engel Stadium's re-use scenarios and any existing neighborhood master plans. Existing zoning structures will be reviewed. Associated with this review will be an analysis of available information regarding private investment to be anticipated in the community around housing, industrial, retail, and office space.

Budget – In this task The Enterprise Center will review existing Master and strategic Plans of institutions within the project area. To gather these reports The Enterprise Center will have further meetings. Total direct personnel and fringes for this activity is \$25,600. This task will focus on developing a greater understanding of the environmental conditions at the catalyst site and other brownfields in the study area. The expertise of technical consultants will be needed to develop this understanding; therefore contractual expenses total \$35,000.

Task 4: Brownfield Site Reuse Plans - The Project Management Team will develop brownfield reuse plans for the catalyst site and other brownfield sites identified in the Planning Zone. This will include development of a market study for the highest and best use of the catalyst site, feasibility analysis, and development of clean-up options and costs. Additionally, the City of Chattanooga is in the process of implementing a Water Quality Consent Decree with the U.S. Environmental Protection Agency and the Tennessee Department of Environment and Conservation. The Central Avenue Roadway Extension Project will segregate a large portion of storm drainage from the current combined sewer system, to be discharged directly into Citico Creek. The project will also provide a new larger sanitary sewer interceptor which will provide relief to the system, improve both stormwater and wastewater discharges and help meet the Consent Decree requirements of the City.

Budget – The Enterprise Center will support the technical consultant in the development of the Market Study and Clean-up Options for the project area. Total direct personnel and fringes for this activity is \$6,400. Some mileage reimbursement and printing supplies (\$1,000 and \$3,280) will be necessary for this task. This task includes developing a market study for the highest and best use of the catalyst site, feasibility analysis, and assessing clean-up options and costs. Contractual expenses total \$27,000.

Task 5: Area-Wide Plan – Armed with citizen input and other information, the Project Team will draft the area wide plan. Topic areas will include housing, recreation, transportation and infrastructure improvements needed to support urban redesign. It will incorporate capital improvements, and retail opportunities recreation, infrastructure, The proposed plan is to connect Central Avenue at 3rd Street to Riverside Drive by constructing a new 4-lane cross section, with a divided median, curb and gutter, sidewalks, streetscapes and bike facilities along the approximate 0.35 mile course. Other amenities to the project include the construction of a Multiuse Path that will connect to the existing Riverwalk and will start the Greenway Trail to eventually tie into the UTC Greenway System. In the design phase, the Project Management Team will investigate linkages by identifying key connections created outside the study area,

and prioritize transportation options (transit, bicycle, pedestrian, car). We will identify opportunities for parks and recreational space and preferred greenway alignments.

In the design phase, the Project Management Team will identify potential commercial redevelopment and infill sites and the juxtaposition of housing to commercial redevelopment. Preferred sites for new institutional development by UTC, Erlanger, and other potential medical and commercial facilities will be identified.

Housing will be a major focal point. For the past several years, UTC has placed well over 100 students in off campus hotels due to lack of student housing. The current owner of the Cannon/Cumberland site is interested in exploring the potential for developing a portion of its site for housing purposes. Based upon on the Phase II ESA, and the Area-Wide Planning Grant evaluation process, the Project Management Team would determine whether the utilization of the site for housing purposes is appropriate and feasible. Additionally, the Project Management Team would look to identify opportunities for new housing, including the desirability of single-family and/or high-density housing.

Finally, the Regional Planning Authority would establish design standards for public spaces (streetscape, parks) and for new development. Special emphasis will be placed on preserving the area's natural features as well as green building techniques for any commercial or institutional construction.

Budget – The Enterprise Center will assist the technical and communication consultants while developing the Area-Wide Plan. Total direct personnel and fringes for this activity is \$16,000. Also included in this task are some related mileage reimbursement and printing costs of \$1,000 and \$5,000, respectively. Contractual expenses total \$27,500.

Cooperative Agreement Budget	Task 1 Project Management	Task 2 Community Involvement	Task 3 Existing Conditions Research	Task 4 Brownfields Site Reuse Plans	Task 5 Area-Wide Plan	Total
Personnel	6,000	5,500	20,000	5,000	12,500	49,000
Fringe benefits	1,680	1,540	5,600	1,400	3,500	13,720
Travel	2,000	1,000	0	1,000	1,000	5,000
Contractual	0	27,500	35,000	27,000	27,500	117,000
Supplies	2,000	5,000	0	3,280	5,000	15,280
Other	0	0	0	0	0	0
Total EPA Funds (SF-424)	11,680	40,540	60,600	37,680	49,500	200,000

Leveraging Funds						
Regional Planning Agency		4,372	2,198		2,678	9,248
In-kind (Cash)						
The Enterprise Center			6,500	6,000	12,500	25,000
Total	0	4,372	8,698	6,000	15,178	34,248

iii Consistency with community planning efforts - Chattanooga and Hamilton County are members of a THRIVE2055 16-county, three-state, locally funded regional planning initiative which has been awarded Preferred Sustainability Status (PSS) by the U.S. Department of Housing and Urban Development. Certification for this project is included in our attachments. The Livability Principles are the impetus for PSS; the same principals will serve as a focal point for decision-making in this planning process as well. To ensure cross-pollination of ideas and information, several members of the 3rd Street Corridor initiative also serve on the THRIVE team.

iv Performance measurement –

Outputs: The following activities will be performed during the 24-month project term:

- A cross-sector comprehensive project planning process involving the formation of a Governance Committee, a Project Management Team, examination of existing resources, and hiring communications and technical consultants. These will be used for assessing redevelopment in the catalyst site and within the 3rd Street corridor. The planning process will produce quarterly progress reports.
- Development of a communications strategy to engage community involvement.
- Public meetings.
- Assessment of environmental conditions in the catalyst site.
- Assessment of environmental conditions in the 3rd Street corridor including the identification of other brownfield sites, historical sites and structures, blighted or vacant properties, properties in foreclosure, open space, and a review of streams and wetlands.
- Review of institutional (UTC, Erlanger, Engel) master and strategic plans.

Outcomes: The outcome of the project is comprehensive plan for the 3rd Street Corridor and catalyst site that addresses the following elements:

- Establish district identity.
- Identify key connections outside the study area, prioritization of transportation options (transit, bicycles, pedestrian, car), and identify preferred alignment for the Central Avenue Extension based on community input.
- Identify opportunities for park and recreation space and greenway alignments.
- Identify potential commercial redevelopment and infill sites and recommendation of preferred reuse options for Engel Stadium.
- Develop preferred housing typologies and examination of opportunity sites for new housing.

- Establish design standards for public spaces (streetscape, parks) and guidelines for new development.
- Finalized brownfield site reuse plans through a market study defining allowed uses, contamination levels, and proximity to amenities and transportation networks.
- Research and secure sources of funding, secure development approvals, establish priorities, a budget for capital improvements, and establish incentives.
- Develop an implementation plan

Criteria 3: Community Engagement & Partnerships

i. Existing Planning Efforts - In the fall of 2011, the Engel Foundation was awarded grant funds by local foundations to undertake a planning process to redevelop Engel Stadium, a minor league ballpark on the National Register of Historic Places. This was the latest in a series of unique planning initiatives involving 3rd Street and Central Avenue that have taken place in recent years.

Erlanger's Master Plan was completed in 2006 and updated in 2009. UTC's Growth Plan was finalized mid-2012. The two organizations began to work in collaboration with each other as plans for expanding opportunities associated with the UT College of Medicine-Chattanooga began to emerge. At the same time, The Enterprise Center, in its role as a high-tech economic development entity, began working with private developers to explore the possibility of creating a medical research facility in the area. The Enterprise Center has been tasked by the City of Chattanooga with developing a strategy for creating economic development opportunities in the Planning Zone around health sciences, medical research and medical device manufacturing.

Plans to extend Central Avenue, which have been in the works for several decades, came to fruition in June 2012 when City Council voted to approve a contract with the Tennessee Department of Transportation for \$3.9 million for the construction of the roadway.

In the summer of 2012, the Engel Foundation commissioned a group of community leaders and key stakeholders to lead the planning effort for the stadium's redevelopment that was funded the previous fall. The Engel District Task Force members determined that no plan for the ballpark could be created without considering the context of the surrounding area. UTC, Erlanger, Fort Wood and Lincoln Park were all approached and to gauge their willingness to participate in the planning process. Area residents of Lincoln Park and Fort Wood expressed appreciation at being included as a partner in the planning process right from the beginning.

At the request of the Task Force, the Regional Planning Agency hosted a meeting in September 2012 to exchange information about the various planning initiatives involving the area. Those in attendance affirmed the need to develop an area-wide plan and to request local government involvement in the plan's development. As a follow-up, key stakeholders and RPA met with City and County leadership in late October. The agenda included briefings on the various plans. Because the facility was closed in August 2012, the Cannon/Cumberland industrial site emerged as a potential redevelopment opportunity.

The City and County Mayors each acknowledged the need for an area-wide plan and agreed to devote resources from the jointly-funded Regional Planning Agency to the process. Following the meeting, and in recognition that the area-wide plan initially envisioned by the Engel Task Force had evolved well-beyond its intended scope and purpose, the Engel District Task Force expanded into the 3rd Street Corridor initiative. The City, the County and The Enterprise Center, in its economic development capacity joined with the original task force members which included representatives from all the stakeholder entities in the Corridor, as well as other community leaders. Additional members will be added as the process gets underway in 2013.

ii Organizational Members

These organizations are already involved in the project. They will designate representatives to serve on the Leadership Team and provide data and information to support the project.

City of Chattanooga	University of Tennessee at Chattanooga
Hamilton County	Fort Wood Neighborhood Association
Chattanooga Area Chamber of Commerce	Lincoln Park Neighborhood Association
Erlanger Medical Center	Engel Foundation

These organizations will be involved in the project going forward to provide technical resources, data and information:

Chattanooga Neighborhood Enterprises	Tennessee Department of Environment and Conservation
Hamilton County Health Department	Chattanooga Office of Sustainability
Cornerstones	GreenSpaces
Chattanooga Area Regional Transportation Authority	

These organizations have been involved in the project and will provide project management services:

Enterprise Center	Regional Planning Agency
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iii How organizations and stakeholders will create the plan - The Leadership Team will be responsible for developing the project goals and parameters to ensure that the project moves forward to successful completion. They will “own” the planning process. The Project Management team will guide the Leadership Team’s process and make recommendations to the Leadership Team for decision-making. The Technical Support Team will be available to both the Leadership Team and the Project Management Team to provide specialized knowledge and data pertinent to the various aspects of the plan.

iv Community Input - Community input will be a key guiding element of the project from the beginning to project implementation. From the very beginning the project will incorporate a

public stakeholder meeting to identify the key issues and opportunities that impact the site and the district. These issues and opportunities will inform the planning analysis and development of potential strategies. Once the analysis is complete, the project team will conduct a series of workshops with community stakeholders to explore potential revitalization strategies, land programming options, and service connections to address the key issues/opportunities identified. Community feedback received during these workshops will be utilized to inform the final plan recommendations.

Criteria 4: Community Benefits

i Community Improvements and Revitalization - The 3rd Street Corridor and surrounding Impact Zone neighborhoods of East Chattanooga are overdue for new public and private investment. The overarching motivation driving the area-wide plan is community benefit via health and jobs. The economic development along the Central Avenue-3rd Street corridor will increase jobs in east Chattanooga, but more importantly the nearby communities of Fort Wood and Lincoln Park will also derive environmental, economic, infrastructural, and recreational benefits.

The planning project will bring together a wide-ranging, cross-blend coalition of economic development professionals, those with environmental expertise and area residents to discuss sustainable development approaches. These discussions will produce a strategy for site reuse and transformative ideas to generate tax revenues for public economic benefit. This subject matter includes innovative strategies that spawn investment benefiting the improvement of east Chattanooga's appearance to potential investors. New construction for higher education and medical uses enhances the plan's economic potential. As a result of UTC and Erlanger's investment plans, jobs and access to jobs will achieve a competitive livability advantage to residents inside the corridor. Consequentially, the area-wide plan will identify how the catalyst site can be a valuable addition to the forthcoming economic synergy. Developers will find the site's location and reuse potential attractive. These enterprises, whether large or small, lead to more jobs. The new investments and the jobs they bring will therefore increase property values in the corridor and translate into more money for parks, schools, public and community services, and transit.

The area-wide plan will develop strategies to preserve and create green spaces and recreational areas within the corridor. This includes making the community aware of the City's plan to build a trail connecting the Lincoln Park community to the Tennessee Riverpark and an assessment of open spaces for parks and recreation of which the aftereffect will be improved community health, walkability, and livability. Use of Engel Stadium as a public park will also be considered.

Planning for the productive reuse in and around the site will aid in replacing the east Chattanooga's longstanding reputation of decay and pollution with forthcoming business attraction, health and safety, and easy access to jobs and public services. These factors work in harmony to positively shape the economic activity and long-term strategic plans within the corridor. The area-wide plan will rebrand east Chattanooga as a livable, sustainable, and energetic part of town.

ii Livability Principles - The Partnership for Sustainable Communities website states that since 2009, the U.S. Department of Housing and Urban Development, the U.S. Department of Transportation, and the U.S. Environmental Protection Agency have been coordinating their work to help communities around the country better meet their housing, transportation, and environmental goals. The Area-Wide Planning project will encompass all six of the Livability Principles of the Partnership for Sustainable Communities.

Paramount in this project will be the support of existing communities and neighborhoods. The project planning area is comprised of two unique historical communities that will be protected and enhanced in this project.

The Lincoln Park neighborhood once had the distinction of housing the largest African-American recreational entertainment district in the Southeast. The complex drew patrons from Atlanta, Birmingham, and other nearby cities, and featured swimming, baseball, a small zoo, restaurants, and an amusement park.

The Fort Wood National Historic District was originally a Civil War fortification that became one of Chattanooga's finest residential neighborhoods from the 1880's to the 1920's, with examples of Queen Anne, Tudor, and Romanesque architecture. By the 1950's the Fort Wood neighborhood had fallen into steady decline. With restoration beginning in the 1990's, and the statutory protections offered under the National Historic District designation, the neighborhood has seen a recent rebirth.

As a part of the Central Avenue Roadway Extension project, Chattanooga understands its responsibility to sustain the environment. This will be accomplished, in part, through remedial action at Citico Creek, as well as upgraded sanitary sewer interceptors. This project, carried out under federal auspices due to an EPA Water Quality Consent Decree with the City of Chattanooga, involves the process of coordinating and leveraging federal policies and community investment.

The project also has substantial transportation and mobility improvements associated with it. The Central Avenue Roadway extension to Riverside Drive is a 0.4 mile project that will include sidewalks, streetscapes, and bicycle facilities. The project will also allow the neighborhood communities to be connected to the 13-mile Tennessee Riverwalk and associated bike path. Additionally, UTC has included the development of a Greenway System in its Master Plan and the Central Avenue project will allow the University to be tied into the access the Tennessee Riverwalk.

Finally, the potential redevelopment of the brownfields catalyst site at Cannon/Cumberland will have both environmental and economic development implications. With the recent loss of 70 manufacturing jobs at the site, redevelopment of the site will allow appropriate development (whether housing or commercial) in the area. All aspects of this project relate to the Livability Principles of HUD, DOT and EPA.

Criteria 5: Programmatic Capability & Past Performance

SOURCE	SCOPE	AMOUNT	CONTACT NAME	CONTACT #	YEAR
Tennessee Department of Transportation (GR-10-30051-00 & 33LPLM-F0-035)	The Grantee shall make provisions for staffing, management, and technical services in supporting the Tennessee-Georgia Steering committee for the Atlanta-Chattanooga-Nashville High Speed Rail corridor project.	\$570,000 & \$750,000	Gary Ferguson & Kwabena Aboagye	615-253-1030 & 615-741-5316	2010 & 2012
U.S. Small Business Administration (SBAHQ-I-08-0098 & SBAHQ-I-09-0159)	Coordinate the effective and efficient dissemination of advanced technology from the research level into real production to ensure that the area capitalizes on these advanced technology centers to spur business growth and job creation.	\$600,000 & \$700,000	Teresa Clouser	202-205-7371	2008 & 2009
City of Chattanooga	The contractor shall provide technical guidance to businesses & industries in taking advantage of the various Renewal Community tax incentives.	\$60,000 (per year for 8 years)	Beverly P Johnson	423-425-3700	2002-2009
EPA Brownfield Job Training (95489211)	The grantee shall recruit, train, and place predominantly low-income and minority, unemployed and under-employed residents of Chattanooga in the environmental field.	\$299,999	Daisy Kathleen Curry	404-562-8660	2011
Tennessee Department of Transportation (GR-10-30051-00 & 33LPLM-F0-035)	The Grantee shall make provisions for staffing, management, and technical services in supporting the Tennessee-Georgia Steering committee for the Atlanta-Chattanooga-Nashville High Speed Rail corridor project.	\$570,000 & \$750,000	Gary Ferguson & Kwabena Aboagye	615-253-1030 & 615-741-5316	2010 & 2012
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The Enterprise Center has successfully managed and completed grants and cooperative agreements for the U.S. Small Business Administration, the U.S. Environmental Protection Agency, and the Tennessee Department of Transportation, among others. TEC consistently submits acceptable monthly, quarterly, and final progress and technical reports for all grants. Under our recent EPA Brownfield Green Job Training grant we have successfully completed three quarterly reports on the ACRES system. All cost principals, OMB administrative requirements and record-keeping requirements are followed.

A Single Audit Report has been generated for TEC for all past fiscal years with no Financial Statement Findings or Federal Awards Finding or Questioned Costs. No deficiencies in internal controls over compliance or financial reporting have been identified for any years. For seven years, The Enterprise Center successfully managed the U. S. Department of Housing and Urban Development's (HUD) Renewal Community program for the City of Chattanooga. One of only two programs in Tennessee (other Memphis), the program has been recognized as one of the best Renewal Community programs in the country. The program is recognized as having created 1600 yearly jobs and \$190 million in economic impact (Ochs Center for Metropolitan Studies, 2010).

J. Wayne Cropp has over 30 years diverse experience as an entrepreneur, corporate CEO, environmental attorney, government director, and now as chief executive officer of a not-for-profit corporation. He is the former president and owner of Aquaterra Engineering, LLC; former executive director of the Chattanooga-Hamilton County Air Pollution Control Bureau; and former chairman of the Environmental Law Practice Group at Grant, Konvalinka, and Harrison, PLLC. In the latter position, he served as chairman of the Environmental Law Section of the Tennessee Bar Association (2005).

Criteria 6: Leveraging

The Enterprise Center has successfully secured \$34,248 in leveraged public/private investments for the area-wide plan. The Regional Planning Agency will provide \$9,248 in in-kind resources for community involvement, existing conditions research, and area-wide plan development. These in-kind services are limited to salary and fringes. The Enterprise Center will provide cost-sharing by leveraging \$25,000 in cash. **The total value of applicant-leveraged resources is \$34,248.**

Currently, the Engel Foundation has \$40,000 in project funding to initiate its Engel Stadium reuse development plan. This funding has not been counted toward the project funds because Engel's study will be initiated before the anticipated area-wide planning initiative start date. Additionally, the Project Management Team will seek additional funding from city and county government and foundations with which to improve and expand the project.

ⁱ All data provided by the Hamilton County Health Department from local studies as well as the 2010 U.S. Census, the Bureau of Labor Statistics and the 2006-2010 American Community Survey

Attachments:

Threshold Criteria Worksheet

Name of Applicant: The Enterprise Center, Inc.

1. Applicant Eligibility: Describe how you are an eligible applicant as specified in Section III. A., *Eligible Entities*.

The Enterprise Center, Inc. is a high-tech economic development entity created by the City of Chattanooga in 2003. Attached are the following documents to confirm our status:

1. March, 2003 Charter of The Enterprise Center, Inc.

In accordance of Section 9 of the Charter, the Corporation is organized exclusively for charitable purposes within the meaning of 501(c) (3) of the Internal Revenue Code of 1986, as amended, and operates with the purpose of combating community deterioration in of the City of Chattanooga and its surrounding region by "... encouraging revitalization, promoting research and development, supporting the development of technology-based economic activity, and initiating and managing projects and activities in connection with such economic and technology development strategies...."

2. May 2, 2008 Correspondence from the Internal Revenue Service, U.S. Department of Treasury, recognizing the public charity status of The Enterprise Center, Inc., and associated March 8, 2005 correspondence from the Internal Revenue Service, U.S. Department of Treasury.
3. Current Certificate of Good Standing from the Secretary of State, State of Tennessee, dated November 5, 2012.

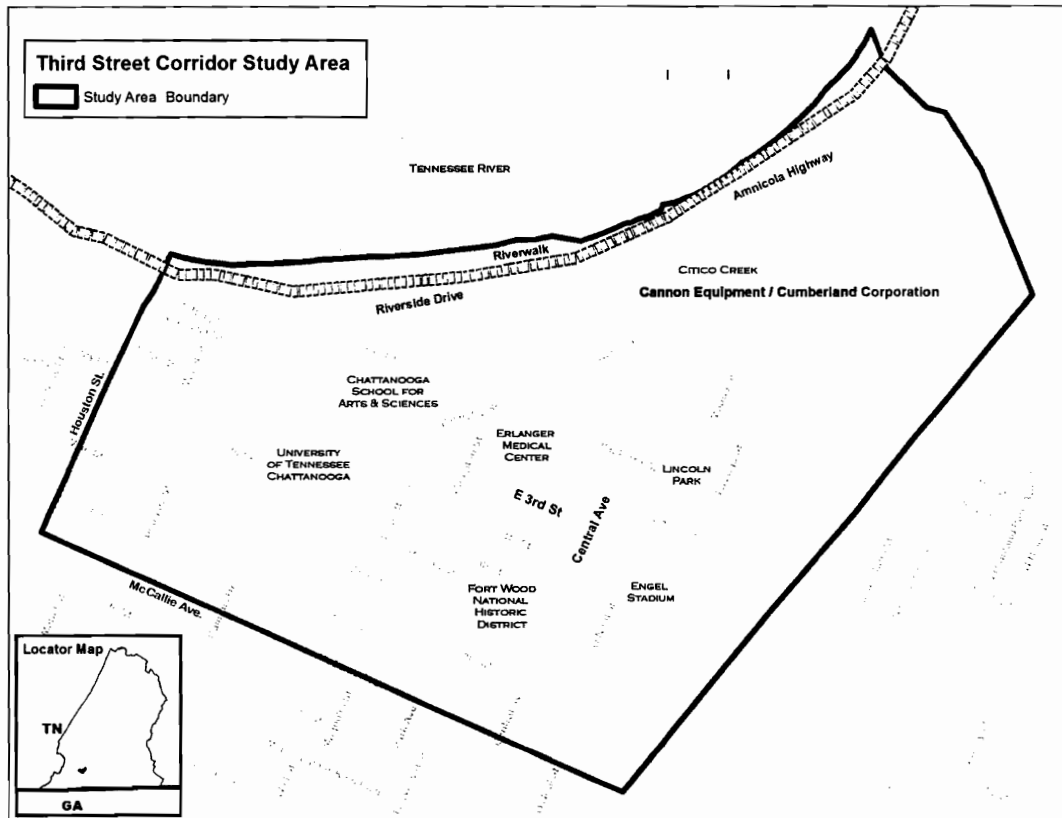
We affirm that neither The Enterprise Center, Inc., nor the City of Chattanooga, Tennessee, was a recipient of a FY2010 Brownfield Area-Wide Pilot Grant.

2. Location of Proposed BF AWP Project Area:

- a. In the space below, provide geographic boundaries (street, natural and/or constructed boundaries) and the approximate acreage of the proposed BF AWP project area.

The Corridor is bordered by McCallie Avenue to the south, a pre-civil war rail yard to the east, The Tennessee River to the north and the UTC campus to the west. In addition to the 112-year-old Erlanger Medical Center, the area includes a Confederate cemetery, an 82-year old minor league ball park, and the estimated 17 acre 65 year-old Cannon Equipment/Cumberland Corporation (Cannon/Cumberland) industrial site, the proposed catalyst for the district's socio-economic resurgence. Citico Creek, which borders Cannon/Cumberland, flows directly into the Tennessee River.

- b. In the space below, provide a small, but legible black and white map, with scale and street-level detail, which clearly delineates the project area boundaries within the context of the city or community.



3. Identify the specific catalyst, high priority brownfield site(s) within the proposed BF AWP project area around which your project will focus:

In the space below, provide the following information (a-d) for each of the catalyst, high priority brownfield site(s) identified. (Note: these catalyst, high priority brownfield site(s) should be consistent with the catalyst, high priority brownfield site(s) identified under ranking criterion 2.i.).

- a. **Basic Brownfields Site Information:** Provide the name of the brownfields site and the address of the site, including zip code.

The catalyst, high priority brownfield site is the Cannon Equipment/Cumberland Corporation (Cannon/Cumberland) site. It is located at 950 Riverside Drive, Chattanooga, Tennessee 37403-1307.

Affirmation that the site is NOT any of the following: The Enterprise Center, Inc. affirms that the Cannon Equipment/Cumberland Corporation site is eligible for funding under EPA's 2012 Area-Wide Planning grant criteria, and is NOT listed or proposed for listing on the

National Priorities List; subject to unilateral administrative orders, court orders, administrative orders on consent, or judicial consent decrees issued to or entered into by parties under CERCLA; or subject to the jurisdiction, custody, or control of the U.S. government.

b. Type of Contamination, or Potential Contamination, at the site.

After 65 years of operations, the Cannon Equipment facility at 950 Riverside Drive, formerly known as Cumberland Corporation (Cannon/Cumberland), shuttered its operations in August 2012, with the loss of 71 local manufacturing jobs. At one time, the facility had employed up to 250 workers.

The plant had been in existence at the Riverside Drive site since 1947. Cannon Equipment Southeast is associated with a Minnesota-based company, which is part of IMI, PLC, based in Great Britain. Cannon Equipment Southeast was in the business of making custom material handling carts, cages, racks, front-end merchandising fixtures, and displays, according to a recent newspaper article in the *Chattanooga Times Free Press*.

The Cannon Equipment/Cumberland Corporation site was formerly the location of metal plating operation and a saw mill. Potential contamination from saw mills include: surface treatment or wood treatment in the form of preservative solutions.

Historically, the metal plating industry has used a variety of special chemicals and additives, including heavy metals, chrome (hexavalent chromium), volatile organic compounds (VOCs), semi-volatile organic compounds (SVOCs), acids, and other compounds.

A Phase II Environmental Site Assessment is planned for Quarter 1, 2013.

The subject site is not predominately a petroleum site.

c. Date of EPA's prior determination:

The applicant is not aware that the U.S. Environmental Protection Agency has previously determined that the site meets the definition of a "brownfield site."

4. Ineligible activities:

N/A

5. Letter of support: Identify which letter of support provided with your grant proposal package meets this threshold requirement.

The letter from the City of Chattanooga, signed by Mayor Ron Littlefield, November 26, 2012 meets the applicant's threshold requirement.

Secretary of State
Division of Business Services
312 Eighth Avenue North
6th Floor, William R. Snodgrass Tower
Nashville, Tennessee 37243

DATE: 03/31/03
REQUEST NUMBER: 4776-0006
TELEPHONE CONTACT: (615) 741-2286
FILE DATE/TIME: 03/31/03 1548
EFFECTIVE DATE/TIME: 03/31/03 1548
CONTROL NUMBER: 0444388

TO:
MILLER & MARTIN
832 GEORGIA AVE
STE 1000
CHATTANOOGA, TN 37402

RE:
THE ENTERPRISE CENTER, INC.
CHARTER - NONPROFIT

CONGRATULATIONS UPON THE INCORPORATION OF THE ABOVE ENTITY IN THE STATE OF TENNESSEE, WHICH IS EFFECTIVE AS INDICATED.

A CORPORATION ANNUAL REPORT MUST BE FILED WITH THE SECRETARY OF STATE ON OR BEFORE THE FIRST DAY OF THE FOURTH MONTH FOLLOWING THE CLOSE OF THE CORPORATION'S FISCAL YEAR. ONCE THE FISCAL YEAR HAS BEEN ESTABLISHED, PLEASE PROVIDE THIS OFFICE WITH THE WRITTEN NOTIFICATION. THIS OFFICE WILL MAIL THE REPORT DURING THE LAST MONTH OF SAID FISCAL YEAR TO THE CORPORATION AT THE ADDRESS OF ITS PRINCIPAL OFFICE OR TO A MAILING ADDRESS PROVIDED TO THIS OFFICE IN WRITING. FAILURE TO FILE THIS REPORT OR TO MAINTAIN A REGISTERED AGENT AND OFFICE WILL SUBJECT THE CORPORATION TO ADMINISTRATIVE DISSOLUTION.

WHEN CORRESPONDING WITH THIS OFFICE OR SUBMITTING DOCUMENTS FOR FILING, PLEASE REFER TO THE CORPORATION CONTROL NUMBER GIVEN ABOVE. PLEASE BE ADVISED THAT THIS DOCUMENT MUST ALSO BE FILED IN THE OFFICE OF THE REGISTER OF DEEDS IN THE COUNTY WHEREIN A CORPORATION HAS ITS PRINCIPAL OFFICE IF SUCH PRINCIPAL OFFICE IS IN TENNESSEE.

FOR: CHARTER - NONPROFIT

ON DATE: 03/31/03

FROM:
MILLER & MARTIN (VOLUNTEER BLDG/S-1000)
1000 VOLUNTEER BLDG.
832 GEORGIA AVENUE
CHATTANOOGA, TN 37402-0000

RECEIVED: FEES \$100.00 \$0.00
TOTAL PAYMENT RECEIVED: \$100.00

RECEIPT NUMBER: 00003250760
ACCOUNT NUMBER: 00000307



Riley C. Darnell

RILEY C. DARNELL
SECRETARY OF STATE

Page 23 of 68

FILED
 RECEIVED
 2003 MAR 31 PM 3:48
 ANSLEY DARNOLD
 SECRETARY OF STATE

CHARTER OF

THE ENTERPRISE CENTER, INC.

The undersigned, being qualified to act as the incorporator, adopts the following charter for the purpose of organizing a nonprofit corporation under the Tennessee Nonprofit Corporation Act.

1. The name of the corporation is The Enterprise Center, Inc.
2. This corporation is a public benefit corporation.
3. The initial registered office of the corporation is Suite 1000, Volunteer Building, 832 Georgia Avenue, Chattanooga, Hamilton County, Tennessee 37402; and its registered agent at that office is Ansley T. Moses.
4. The name of the incorporator is Ansley T. Moses and his address is Suite 1000, Volunteer Building, 832 Georgia Avenue, Chattanooga, Tennessee 37402.
5. The address of the principal office of the corporation is 101 East 11th Street, Chattanooga, Tennessee 37402.
6. The corporation is not for profit.
7. The corporation will not have members.
8. The board of directors of the corporation shall include not less than three (3) nor more than nine (9) persons chosen in accordance with the bylaws of the corporation.
9. The corporation is organized exclusively for charitable purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as amended ("Internal Revenue Code"), including the relief of the poor and distressed, lessening the burdens of government, promoting social welfare and combating community deterioration, and in furtherance of such purposes, the corporation will operate to further the economic development of the City of Chattanooga and its surrounding region by assisting in stimulating new capital investment, encouraging revitalization, promoting research and development, supporting the development of technology-based economic activity, coordinating public and private economic and technology development strategies, initiating and managing projects and activities in connection with such economic and technology development strategies, providing advice and financial assistance to the

It is intended that these provisions provide for limitation of liability of the directors to the fullest extent permitted by law. If the Tennessee Nonprofit Corporation Act is hereafter amended to authorize the further elimination or limitation of the liability of directors, then the liability of a director of the corporation, in addition to the limitation on personal liability provided herein, shall be eliminated or limited to the fullest extent permitted by the Tennessee Nonprofit Corporation Act.

15. To the fullest extent permitted by applicable law, the corporation shall be permitted to indemnify any officer or director of the corporation in connection with any action, claim, or other proceeding to which any officer or director of the corporation is a party because he or she is or was an officer or a director of the corporation and arising out of his or her status as an officer or director.
16. The provisions of this charter are subject to amendment by the board of directors of the corporation; provided that no provision shall be changed, modified or repealed in such a manner as to be inconsistent with the objects and purposes for which this corporation is formed.

Dated this 26th day of March, 2003.

By: _____

Ansley T. Moses, Organizer

public and private sectors for economic and social development, and serving as a clearing house for information, and the corporation shall act to solicit, collect, receive, accumulate, advance and disburse funds and property in such manner which will most effectively operate to further such activities, all of such purposes being purposes in furtherance of the development and social welfare of the area and its residents.

10. Notwithstanding the other provisions of this charter, the corporation shall only conduct or carry on activities permitted to be conducted or carried on by an organization exempt under Section 501(c)(3) of the Internal Revenue Code and its regulations, and by any organization, contributions to which are deductible under Section 170(c)(2) of such Code and regulations so long as they may be in effect.
11. The corporation is irrevocably dedicated to, and operated exclusively for, charitable purposes, and no part of the income or assets of the corporation shall be distributed to, or inure to the benefit of, any individual, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered on its behalf and to make payments and distributions in furtherance of the purposes set forth in this charter.
12. The corporation shall have the rights and powers now or later conferred upon non-profit corporations by the laws of the State of Tennessee.
13. In the event of dissolution, liquidation, or winding up of the corporation, whether voluntarily, involuntarily, or by operation of the law, the assets of the corporation shall be distributed in accordance with a plan of distribution adopted by the board of directors, exclusively for one or more charitable purposes within the meaning of Section 501(c)(3) of the Code, or shall be distributed to federal, state or local governments exclusively for public purposes.
14. No director of the corporation shall incur personal liability to the corporation for monetary damages for any breach of his or her fiduciary duty as a director; provided, however, that this provision shall not eliminate or limit the liability of a director:
 - a. for any breach of the director's duty of loyalty to the corporation;
 - b. for acts or omissions not in good faith or which involve intentional misconduct or a knowing violation of law; or
 - c. for any unlawful distribution under Tennessee Code Annotated Section 48-58-304.

FILED
 RECEIVED
 2003 MAR 31 PM 3:48
 ANSLEY DARNES
 SECRETARY OF STATE

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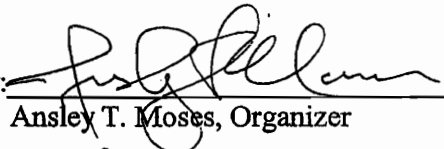
public and private sectors for economic and social development, and serving as a clearing house for information, and the corporation shall act to solicit, collect, receive, accumulate, advance and disburse funds and property in such manner which will most effectively operate to further such activities, all of such purposes being purposes in furtherance of the development and social welfare of the area and its residents.

10. Notwithstanding the other provisions of this charter, the corporation shall only conduct or carry on activities permitted to be conducted or carried on by an organization exempt under Section 501(c)(3) of the Internal Revenue Code and its regulations, and by any organization, contributions to which are deductible under Section 170(c)(2) of such Code and regulations so long as they may be in effect.
11. The corporation is irrevocably dedicated to, and operated exclusively for, charitable purposes, and no part of the income or assets of the corporation shall be distributed to, or inure to the benefit of, any individual, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered on its behalf and to make payments and distributions in furtherance of the purposes set forth in this charter.
12. The corporation shall have the rights and powers now or later conferred upon non-profit corporations by the laws of the State of Tennessee.
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14. No director of the corporation shall incur personal liability to the corporation for monetary damages for any breach of his or her fiduciary duty as a director; provided, however, that this provision shall not eliminate or limit the liability of a director:
 - a. for any breach of the director's duty of loyalty to the corporation;
 - b. for acts or omissions not in good faith or which involve intentional misconduct or a knowing violation of law; or
 - c. for any unlawful distribution under Tennessee Code Annotated Section 48-58-304.

It is intended that these provisions provide for limitation of liability of the directors to the fullest extent permitted by law. If the Tennessee Nonprofit Corporation Act is hereafter amended to authorize the further elimination or limitation of the liability of directors, then the liability of a director of the corporation, in addition to the limitation on personal liability provided herein, shall be eliminated or limited to the fullest extent permitted by the Tennessee Nonprofit Corporation Act.

15. To the fullest extent permitted by applicable law, the corporation shall be permitted to indemnify any officer or director of the corporation in connection with any action, claim, or other proceeding to which any officer or director of the corporation is a party because he or she is or was an officer or a director of the corporation and arising out of his or her status as an officer or director.
16. The provisions of this charter are subject to amendment by the board of directors of the corporation; provided that no provision shall be changed, modified or repealed in such a manner as to be inconsistent with the objects and purposes for which this corporation is formed.

Dated this 26th day of March, 2003.

By: 
Ansley T. Moses, Organizer

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date:

MAY 02 2008

ENTERPRISE CENTER INC
1250 MARKET ST STE 3020
CHATTANOOGA, TN 37402-4443

Employer Identification Number:
20-0062024

DLN:

17053096849048

Contact Person:

SHAWNDEA KREBS

ID# 31072

Contact Telephone Number:

(877) 829-5500

Public Charity Status:

170(b)(1)(A)(vi)

Dear Applicant:

Our letter dated February 2005, stated you would be exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code, and you would be treated as a public charity, rather than as a private foundation, during an advance ruling period.

Based on the information you submitted, you are classified as a public charity under the Code section listed in the heading of this letter. Since your exempt status was not under consideration, you continue to be classified as an organization exempt from Federal income tax under section 501(c)(3) of the Code.

Publication 557, Tax-Exempt Status for Your Organization, provides detailed information about your rights and responsibilities as an exempt organization. You may request a copy by calling the toll-free number for forms, (800) 829-3676. Information is also available on our Internet Web Site at www.irs.gov.

If you have general questions about exempt organizations, please call our toll-free number shown in the heading.

Please keep this letter in your permanent records.

Sincerely yours,



Robert Choi
Director, Exempt Organizations
Rulings and Agreements

Letter 1050 (DO/CG)

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: MAR 08 2005

THE ENTERPRISE CENTER INC
C/O JOE FERGUSON
1250 MARKET ST
CHATTANOOGA, TN 37402

Employer Identification Number:
20-0062024
DLN:
17053266012044
Contact Person:
DOROTHY M LAWRENCE ID# 31450
Contact Telephone Number:
(877) 829-5500
Accounting Period Ending:
December 31
Public Charity Status:
170(b)(1)(A)(vi)
Form 990 Required:
Yes
Effective Date of Exemption:
March 31, 2003
Contribution Deductibility:
Yes
Advance Ruling Ending Date:
December 31, 2007

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. During your advance ruling period, you will be treated as a public charity. Your advance ruling period begins with the effective date of your exemption and ends with advance ruling ending date shown in the heading of the letter.

Shortly before the end of your advance ruling period, we will send you Form 8734, Support Schedule for Advance Ruling Period. You will have 90 days after the end of your advance ruling period to return the completed form. We will then notify you, in writing, about your public charity status.

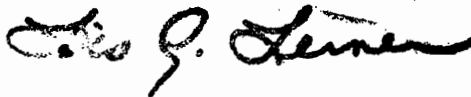
Please see enclosed Information for Exempt Organizations Under Section 501(c)(3) for some helpful information about your responsibilities as an exempt organization.

Letter 1045 (DO/CG)

THE ENTERPRISE CENTER INC

We have sent a copy of this letter to your representative as indicated in your power of attorney.

Sincerely,

A handwritten signature in dark ink, appearing to read "Lois G. Lerner". The signature is fluid and cursive, with the first name "Lois" being more prominent.

Lois G. Lerner
Director, Exempt Organizations
Rulings and Agreements

Enclosures: Information for Organizations Exempt Under Section 501(c)(3)

Letter 1045 (DO/CG)



STATE OF TENNESSEE
Tre Hargett, Secretary of State
Division of Business Services
William R. Snodgrass Tower
312 Rosa L. Parks AVE, 6th FL
Nashville, TN 37243-1102

J WAYNE CROPP
MIGUEL PARTAP
SUITE 3020
1250 MARKET STREET
CHATTANOOGA, TN 37402

November 5, 2012

Request Type: Certificate of Existence/Authorization
Request #: 0081191

Issuance Date: 11/05/2012
Copies Requested: 1

Document Receipt

Receipt #: 843630

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\$22.25

Regarding: THE ENTERPRISE CENTER, INC.
Filing Type: Corporation Non-Profit - Domestic
Formation/Qualification Date: 03/31/2003
Status: Active
Duration Term: Perpetual
Business County: HAMILTON COUNTY

Control #: 444388
Date Formed: 03/31/2003
Formation Locale: TENNESSEE
Inactive Date:

CERTIFICATE OF EXISTENCE

I, Tre Hargett, Secretary of State of the State of Tennessee, do hereby certify that effective as of the issuance date noted above

THE ENTERPRISE CENTER, INC.

* is a Corporation duly incorporated under the law of this State with a date of incorporation and duration as given above;

* has paid all fees, taxes and penalties owed to this State (as reflected in the records of the Secretary of State and the Department of Revenue) which affect the existence/authorization of the business;

* has filed the most recent corporation annual report required with this office;

* has appointed a registered agent and registered office in this State;



* has not filed Articles of Dissolution or Articles of Termination. A decree of judicial dissolution has not been filed.

Tre Hargett
Secretary of State

Processed By: Cert Web User

Verification #: 001930114

Project milestones schedule

 - Task in process
 - Task completed

TASKS/MILESTONES	Wks	QTR 4 13	QTR 1 14	QTR 2 14	QTR 3 14	QTR 4 14	QTR 1 15	QTR 2 15	QTR 3 15
PROJECT PLANNING									
TASK 1 Project Management									
Governance	24	Δ	Δ						
Reporting	104	Δ	Δ	Δ	Δ	Δ	Δ	Δ	Δ
OUTREACH & VISIONING									
TASK 2 Community Involvement									
Communications Strategy	8		Δ						
Public Meetings	24		Δ						Δ
ANALYSIS									
TASK 3 Existing Conditions Research									
Assess Environmental Conditions in the Catalyst Site	4		Δ						
Review Existing Master and Strategic Plans	15			Δ					
Identify and Analyze Environmental Conditions	8			Δ					
Identify and Analyze Infrastructure Needs	15				Δ				
Develop Maps and Materials	8				Δ				
DESIGN									
TASK 4 Brownfields Site Reuse Plans									
Market Study (Highest and Best Use)	15						Δ		
Clean-up Options	15						Δ		
TASK 5 Area-Wide Plan									
Linkages	48							Δ	
Public Space	48							Δ	
Redevelopment	48							Δ	
Housing	48							Δ	
Design Standards	48							Δ	
District Identity	15					Δ			
IMPLEMENTATION									
Develop Costs/ Funding Sources									
Develop Public/ Private Partnerships									
Create Phased implementation Approach									



City of Chattanooga

Ron Littlefield, Mayor

November 26, 2012

J. Wayne Cropp
President and CEO
The Enterprise Center
1250 Market Street, Suite 3020
Chattanooga, TN 37402

RE: EPA Brownfields Area-Wide Planning Grant – Cannon Equipment Catalyst Site

Dear Mr. Cropp:

The Enterprise Center, a 501 (c)(3) non-profit entity, was created by the City of Chattanooga in March, 2003, as an economic development entity for the City. Specifically, as part of its mission, The Enterprise Center operates to further the economic development of Chattanooga by encouraging revitalization, promoting research and development, and managing projects. The City of Chattanooga has requested that The Enterprise Center manage Chattanooga's Brownfields Area-Wide Planning Grant process for the Cannon Equipment/Cumberland Corporation catalyst brownfields site and area-wide planning in the Third Street corridor.

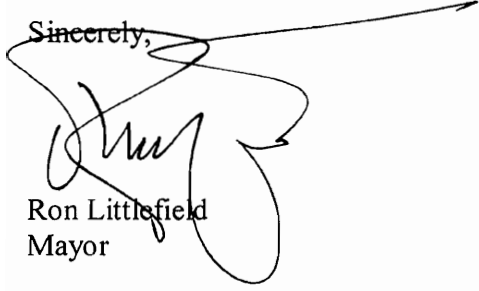
The City of Chattanooga was once recognized as having the worst air quality in the country (HEW, 1969) and was the first metropolitan area in the country to achieve attainment of the ozone standards from non-attainment status. Our "worst to first" environmental progress and recent riverfront development demonstrates the commitment of the Chattanooga community to environmental sustainability. And yet, challenges still remain. As an industrial city, once known as the "Dynamo of Dixie," Chattanooga has a legacy of environmental challenges associated with brownfields sites. The Brownfields Area-Wide Planning grant will enable us to make environmental and economic development progress in an area comprised of several historic neighborhoods, the University of Tennessee at Chattanooga, and the area's only Level One Trauma Center and Children's Hospital at Erlanger Health Systems.

The City of Chattanooga is committed to redevelopment in the Third Street and Central Avenue corridors. The brownfields catalyst site was a manufacturing facility for 65 years shuttered in 2012 with the loss of 70 manufacturing jobs. The City of Chattanooga plans to extend Central Avenue to Riverside Drive. The Cannon Equipment catalyst site is directly in the path of, or in near proximity to the future roadway. EPA approval of the Brownfields Area-Wide Planning grant will enable Chattanooga to advance the development of a planning process that engages the community in future development in the planning area and to identify the highest and best use of the brownfields catalyst site.

City of Chattanooga resources will be dedicated to infrastructure improvements in this community, including water quality improvements in Citico Creek, improvements to sewer infrastructure in the area, and transportation improvements. The City's Public Works Department, General Services Department, Neighborhood Services Department, and the City/County Regional Planning Agency will all provide their respective expertise to this project while the City will provide infrastructure investments in the project.

I am confident that this project will create jobs, and will generate over \$100 million in further development in the next 5 years. The Cannon Equipment Brownfields project will truly be a catalyst to improved environmental and economic conditions in this community.

Sincerely,



Ron Littlefield
Mayor



November 27, 2012

J. Wayne Cropp
President & CEO
The Enterprise Center, Inc.
Suite 3020
1250 Market Street
Chattanooga, TN 37402

EPA Brownfields Area-Wide Planning Grant

Dear Mr. Cropp:

The Chattanooga Area Chamber of Commerce strongly supports your planning efforts for Chattanooga's 3rd Street Corridor. The Chattanooga region has a long and proven history of developing and implementing strong public-private partnerships. From the private sector perspective, the focus on the 3rd Street Corridor will help to continue the community's efforts of redevelopment with the potential for increased investment and job creation.

As the community's leader in business recruitment and industrial marketing, the Chamber has a keen interest in seeing the area redevelop. We credit much of our recent success with the significant urban redevelopment that has taken place in Chattanooga over the last twenty years and recognize that these efforts must continue in our urban core in order to drive job creation, eliminate blighted areas, and create strong neighborhoods and business districts for people throughout the community.

The 3rd Street Corridor is characterized by significant decline and a Brownfields Area-Wide Planning grant would bring a greater rigor to the redevelopment process. Much of the redevelopment that has taken place in Chattanooga has relied on partnerships with the EPA and the 3rd Street Corridor will need that support if it is to be added to our list of successful reclaimed and redeveloped sites.

Please feel free to contact me at (423) 313-3583 or via email at cwood@chattanoogachamber.com if we can provide any additional information or support.

Sincerely,

A handwritten signature in black ink, appearing to read 'Charles Wood', written over a horizontal line.

Charles Wood, CEcD
Vice President – Economic Development



Chattanooga Area Regional Transportation Authority

November 27, 2012

Mr. J. Wayne Cropp
President and CEO
The Enterprise Center
1250 Market Street, Suite 3020
Chattanooga, TN 37402

Re: Brownfields Area-Wide Planning Grant – Cannon Equipment Catalyst Site

Dear Mr. Cropp:

The Chattanooga Area Regional Transportation Authority (CARTA) is wholly supportive of the Enterprise Center's grant request to the Environmental Protection Agency for a planning grant focused on the Third Street Corridor. The story of Chattanooga and Hamilton County reversing decades of environmental neglect is well known. From cleaning of contaminated land and the cleaning of our air, to turning old industrial sites into new, environmentally planned economic developments, and providing education to our population of the importance and viability of protecting and improving the environment while still creating economic growth, our area has become an example of stewardship of our resources.

The Third Street Corridor is also an important transportation corridor. It connects the East Chattanooga neighborhoods, the Erlanger Hospital Campus, and the University of Tennessee – Chattanooga with the downtown area. As such, one of CARTA's strongest transit routes uses the corridor. For this reason alone, we are very supportive of undertaking a planning study to improve all phases of the corridor.

CARTA will make staff available to assist in the planning effort as it pertains to regular public transportation, parking issues, and the potential of neighborhood type shuttle for connections within a smaller area. We have access to ridership data and in-house technical skills which will be at your disposal if needed for this effort.

We look forward to working with you.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Thomas W. Dugan", is written over a horizontal line.

Thomas W. Dugan
Executive Director

Chattanooga's Driving Force.

1617 Wilcox Boulevard • Chattanooga, Tennessee 37406
Phone (423) 629-1411 • Fax (423) 698-2749 • TDD # (423) 624-4534

CARTA IS AN EQUAL OPPORTUNITY EMPLOYER COMMITTED TO PROVIDING CAREER OPPORTUNITY TO ALL PEOPLE,
WITHOUT REGARD TO RACE, COLOR, GENDER, AGE, NATIONAL ORIGIN OR DISABILITY

JIM M. COPPINGER
COUNTY MAYOR



REBEKAH T. BARNES, R.N.
ADMINISTRATOR

VALERIE A. BOAZ, M.D.
HEALTH OFFICER

HAMILTON COUNTY, TENNESSEE
CHATTANOOGA—HAMILTON COUNTY HEALTH DEPARTMENT

November 26, 2012

Wayne Cropp
President and CEO
The Enterprise Center
1250 Market Street
Suite 3020
Chattanooga, TN 37402 - 4433

Re: Letter of Support for a Brownfield Area Wide Planning Grant.

Dear Mr. Cropp,

THRIVE 2055 is pleased to provide its enthusiastic support for the Enterprise Center's application request for \$200,000 in funding from the Environmental Protection Agency to undertake a comprehensive planning process for the 3rd Street Corridor in Chattanooga, Tennessee.

THRIVE 2055 is a public private initiative to engage people from across the 16 county tri-state region of Southeast Tennessee, Northeast Georgia and upper Alabama in setting a course for our shared future. The objectives of the three-year process are to identify regional values and goals and build consensus in strategies that can be implemented for the long-term prosperity of the region. THRIVE 2055 vision is to proactively engage the people of the region in creating an action plan for making the most of our economic opportunities while preserving what we love about our home community.

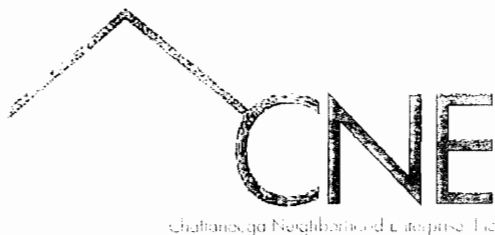
As a member of the Coordinating Committee, the governing committee of THRIVE 2055, I recommend to E.P.A. that this grant application from the Enterprise Center be given favorable consideration. The 3rd Street Corridor has potential for a multi-faceted medical cluster that will encompass healthcare delivery, higher learning research and manufacturing. Encompassing the Cannon Cumberland brownfield industrial site, this project and potential redevelopment, can transform this district just minutes from downtown Chattanooga into a mixed use viable community.

This grant application would greatly assist THRIVE 2055 in achieving its regional goal of shared regional identity, job creation and environmental stewardship. We look forward to collaborating with the 3rd Street Corridor team on this project.

Sincerely,

A handwritten signature in cursive script, appearing to read "Becky Barnes".

Becky Barnes
Coordinating Committee
THRIVE 2055



November 29, 2012

J. Wayne Cropp, President and CEO
The Enterprise Center
1250 Market Street, Suite 3020
Chattanooga, TN 37402

RE: CNE support for Enterprise Center's Brownfields Area-Wide Planning Grant Application

Dear Mr. Cropp:

Chattanooga Neighborhood Enterprise (CNE), a 501(c)3 nonprofit housing organization, was born out of a public visioning process in 1985 that resulted in comprehensive neighborhood revitalization at the center of the City's vision for improving housing conditions. CNE opened its doors in 1986 with a mission to provide all Chattanoogaans the opportunity to live in decent, fit and affordable housing and, in the process, eliminate substandard housing.

As a chartered NeighborWorks America organization, certified HUD Housing counseling agency, and Urban Land Institute award-winning nonprofit developer, CNE's programs have created over 3,400 successful homeowners. CNE provides the classroom instruction and guidance that first-time homebuyers need in order to purchase a home, build family wealth, and become stakeholders in the future of their community. In tandem with counseling and education programs, CNE administers a \$30 million portfolio of loans that improve Chattanooga's neighborhoods in the form of down payment assistance, home improvement, construction, and small business loans. In addition to education and lending, CNE manages the construction and renovation of homes in various neighborhoods across Chattanooga.

For over a quarter of a century, CNE has been a vital partner in Chattanooga, improving lives, strengthening communities and improving the quality of life in our city. In that spirit of partnership, CNE would like to express its support for the Enterprise Center's application to the EPA for the Brownfields Area-Wide Planning grant. The grant will enable Chattanooga to advance the development of a planning process that engages the community and surrounding neighborhoods in future development of this important area of our great city.

Sincerely,

Ric Ebersole
Interim President and CEO



HISTORIC
PRESERVATION
IS PRESENT
TENSE

J. Wayne Cropp
President & CEO
The Enterprise Center, Inc.
1250 Market Street, Suite 3020
Chattanooga, Tennessee 37402

Dear Mr. Cropp,

Cornerstones is Chattanooga's only non-profit historic preservation organization. Our mission is to preserve the city's architectural heritage and urban fabric. We are proud to support the application of The Enterprise Center for a U. S. EPA Brownfields Area-Wide planning grant for the Cannon Equipment/Cumberland Corporation brownfields catalyst site inclusive of the project study area in the Third Street and Central Avenue corridors.

As you know, located within this corridor planning area are several historic communities and architecturally significant structures. Cornerstones will support this application by providing assistance with historic preservation issues. We will gladly serve on the steering committee and provide our expertise in the development of scope of work as well as assist with a comprehensive community visioning process.

Cornerstones is very involved in the preservation and adaptive reuse of historic Engel Stadium, a baseball stadium that opened in 1930. Since there are only four extant baseball stadiums built during this era remaining in the entire country, we are working very closely with the Engel Foundation. In 2010, Engel Stadium was listed on the national register of historic places and in 2012, this location served as the venue for the filming of the movie "42", a film about Jackie Robinson and major league baseball.

The catalyst brownfield site has the potential to create a number of positive opportunities for the community, including that of heritage tourism at this irreplaceable baseball stadium. Cornerstones strongly advocates for this project along with the preservation of historically and architectural significant structures located within this project area.

Sincerely,



Ann Gray
Executive Director



City of Chattanooga

Office of Sustainability

November 30, 2012

J. Wayne Cropp
President and CEO
The Enterprise Center
1250 Market Street, Suite 3020
Chattanooga, TN 37402

Re: EPA Brownfields Area-Wide Planning Grant – Cannon Equipment Catalyst Site

Dear Mr. Cropp,

The Office of Sustainability is responsible for guiding Chattanooga toward a more sustainable future with emphasis being given to the triple bottom line- economic, social, and environmental issues. Brownfields redevelopment is an integral component to removing blight and environmental contamination from a community, principles of smart growth and sustainability that our office encourages. By redeveloping brownfield sites, a community can create a catalyst for neighborhood revitalization, actions that epitomize sustainability.

The mission of the City of Chattanooga Office of Sustainability is to be a catalyst for innovation and sustainable decisions and actions within the City of Chattanooga government, Chattanooga communities, and the region. We see our partnership with *The Enterprise Center* Brownfield program as an opportunity to address local environmental issues and assist with making sustainable best practices mainstream. Furthermore, we believe that a strong partnership between the City of Chattanooga and the Enterprise Center has helped to address such issues, thus making Chattanooga a better, cleaner place to live.

As a representative of the City of Chattanooga, the Office of Sustainability will serve as a technical advisor as well as ensure that all aspects of sustainability are being addressed; actions which will protect and restore the environment, complement and enhance the economy, and reflect on key social justice issues. We are delighted to support a project that will have such an impact on the community, environment, and economy and are anxious to see this project move forward.

Sincerely,

A handwritten signature in black ink, appearing to read "Heather Adcox", is written over a horizontal line.

Heather Adcox, AIA, LEED AP
Director
Office of Sustainability



November 26, 2012

Mr. Wayne Cropp
President and CEO
The Enterprise Center
1250 Market Street
Suite 3020
Chattanooga, TN 37402 - 4433

RE: Letter of Support
Brownfield Area Wide Planning Grant

Dear Mr. Cropp,

Erlanger Health System is a key stakeholder in the above initiative together with those served, inclusive of the neighboring community. As the safety net hospital and only academic medical center located between Atlanta, Knoxville and Nashville, Erlanger is the vital health resource others turn to for needed medical care. We serve more than 500,000 patients annually from Tennessee, Georgia, North Carolina and Alabama and we train more than 400 medical students and residents via the University Of Tennessee College Of Medicine which is co-located on the Erlanger campus. Our medical staff consists of 800 active physicians in virtually every specialty and subspecialty.

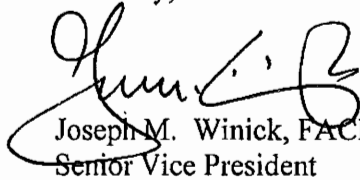
For the last six years, Erlanger has sought to engage the community and other key stakeholders in a master planning process designed to transform Erlanger and the immediate surrounds into a medical hub that would serve as a magnet for education and an incubator for industry. Together with the University of Tennessee College of Medicine and the University of Tennessee Chattanooga, key stakeholders have come together to share a common vision for transformation of the area. With extension of Central Avenue, the front door to Erlanger will change, particularly for nearly 100,000 patients requiring emergency care, whether at the medical center or our children's hospital which is located on the same site. Saving time equates to saving lives.

To continue to progress forward with our plans, further study is imperative. The extension of Central Avenue thru the Cannon Cumberland Brownfield site has significant implications for Erlanger and our neighbors. We have an 1,800 car parking garage with multiple entrances located off Central together with the main entrance to the hospital campus. On 3rd Street, which intersects Central Ave at Erlanger, we have plans to build a new regional cancer center, a health education center and a new women's and children's hospital. None of this can occur absent comprehensive and complete evaluation.

We have been energized by the collaboration and engagement of key stakeholders and the community and want to go on record as being in full support of this initiative to undertake further study of the Cannon Cumberland Brownfield industrial site. Our leaders will be at the table as we work together to foster transformation of the area for the benefit of all.

Please call me at (423) 778-8088 or Lisa McClure at (423) 778-3211 if you have any questions or are in need of additional information. We will be pleased to assist.

Sincerely,



Joseph M. Winick, FACHE
Senior Vice President

Fort Wood

Neighborhood Association



PO Box 3118
Chattanooga, TN
37404-0118

Wayne Cropp
President and CEO
The Enterprise Center
1250 Market Street, Suite 3020
Chattanooga, TN 37402-4443

Dear Mr. Cropp,

The Fort Wood Neighborhood is one of the oldest residential neighborhoods in the city of Chattanooga. The homes were built in the late 1800's and early 1900's, on the site of the original "fort" constructed by Union forces in 1863 during their occupation of Chattanooga. Today, one can see war-era cannons at 801 Oak St. and 850 Fort Wood St., placed by the National Park Service. Between 1900 and 1910, Fort Wood became one of the most exclusive residential sections in Chattanooga, and many of Chattanooga's leading citizens built their homes in Fort Wood.

The preservation and protection of the Fort Wood Historic District and the wider Fort Wood Neighborhood is very important to our residents. The expansion of UTC, the proposal to widen Central Avenue, the restoration of the adjacent Engel Stadium, as well as the growth of the Erlanger Medical Center are all areas of concern for the residents of Fort Wood. There is also particular concern for the environmental protection of the area and development of green space and pedestrian friendly roadways. The proposed 3rd Street Corridor Planning Initiative appears to be an important step for our community. The Board of Directors of the Fort Wood Neighborhood Association will certainly consider, at their upcoming meeting, involvement on a leadership committee for the purpose of creating a plan for our area that is desirable for those who live and work here.

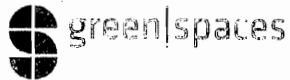
Please let me know how I may assist you as a liaison between the residents of the Fort Wood neighborhood and the planning team.

Sincerely,

A handwritten signature in cursive script that reads "Janice Heath".

Janice Heath
President
The Fort Wood Neighborhood Association

(423) 266-2567



building for chattanooga's greener good

63 East Main Street

Chattanooga, TN 37408

greenspaceschattanooga.com

November 30, 2012

Mr. J. Wayne Cropp
President & CEO
The Enterprise Center, Inc
Suite 3020
1250 Market Street
Chattanooga, TN 37402

Re: EPA Brownfield Area-Wide Planning Grant

green|spaces exists to further sustainability in the Chattanooga region by promoting and developing environmentally preferable practices. By accelerating sustainable building practices we can improve air quality, reduce waste, conserve water and minimize energy consumption.

green|spaces supports The Enterprise Center's Brownfield Area-Wide Planning Grant proposal and effort to revitalize the 3rd and Central Avenue corridors. This type of infill redevelopment is aligned with green|space' mission and would greatly benefit the health of the community, and encourage more investment into the neighborhoods nearby.

It is crucial for this project to have key partners and experts involved from the beginning. green|spaces will serve as a technical advisor during the planning of this projects; offering expertise on smart building and land use practices. We are pleased to offer our time and input towards a project with such great potential to improve our community.

Best regards,

A handwritten signature in black ink that reads 'Anj McClain' with 'by Jue' written below it.

Anj McClain
Executive Director
green|spaces



HAMILTON COUNTY, TENNESSEE

OFFICE OF THE COUNTY MAYOR

JIM M. COPPINGER

November 28, 2012

J. Wayne Cropp
President & CEO
The Enterprise Center, Inc.
Suite 3020
1250 Market Street
Chattanooga, TN 37402

EPA Brownfields Area-Wide Planning Grant

Dear Mr. Cropp:

Hamilton County joins with the City of Chattanooga in launching a planning and redevelopment initiative for the 3rd Street Corridor. As a community, we have a notable track record of successful reclamation initiatives. We could not have achieved this success without a strong and steady partnership with EPA, forged through numerous brownfield redevelopment and environmental restoration projects undertaken. We look forward to building on this partnership and track record of success during the 3rd Street Corridor project.

As the economic epicenter of Southeast Tennessee and Northwest Georgia, Hamilton County is pleased to be among the 16 counties now engaged in THRIVE, a locally-funded regional planning process to ensure a future that is economically, socially and culturally desirable; one that preserves our rich natural assets. Planning for the 3rd Street Corridor will allow us to engage in dialogue and decision-making on a micro-level that is similar to what the region is undertaking as a whole.

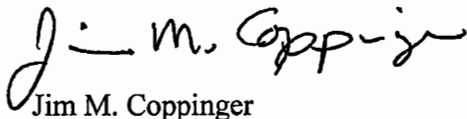
The 3rd Street Corridor, one of the most historic in the City, is in need of refurbishing after decades of decline. A Brownfields Area-Wide Planning grant would bring a greater rigor to the redevelopment process in several ways. First, it would bring an added layer of expectation and accountability for key stakeholders and planning partners. Second, it would bring greater depth of analysis to the planning process, which would hasten the timeline for plan implementation. Third, it would enhance the opportunity to address job creation in an area that sits between the urban core and some of the City's poorest neighborhoods. Fourth, it would hasten the clean-up and redevelopment of the Cannon Cumberland brownfield site, and the remediation of Citico Creek, a waterway that passes hundreds of homes, schools, parks and businesses on its way to our community's main source of drinking water, the Tennessee River.

J. Wayne Cropp
November 28, 2012
Page 2

The County is prepared to commit resources to the project. Indeed, we already have. Members of our Community and Economic Development staff have been engaged in pre-planning for six months to assess the desire of key stakeholders to participate in the planning process. The affirmative answer led directly to the development of this grant application. Development staff will remain involved assisting the Enterprise Center to support the project. In addition, we expect staff members from the Health Department, County GIS and School System to bring their expertise to bear as needed.

We appreciate the vital role EPA has played in the transformation of our community. We look forward to working with you on the next chapter, the 3rd Street Corridor initiative.

Sincerely,

A handwritten signature in cursive script, appearing to read "Jim M. Coppinger".

Jim M. Coppinger
County Mayor

November 29, 2012

J. Wayne Cropp
President & CEO
The Enterprise Center, Inc.
Suite 3020
1250 Market Street
Chattanooga, TN 37402

Re: 3rd Street Corridor Planning Initiative

Dear Mr. Cropp:

Lincoln Park is a tight-knit community of ¹⁰⁰300 plus residents with a rich history dating back 100 years. Many of us have lived here for decades, as did our parents before us. Because we know each other, we look out for each other, especially those who are elderly or disabled.

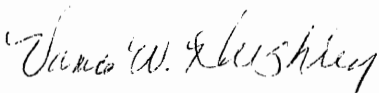
In the late 1990s, the residents of Lincoln Park came together to fight development by Erlanger Hospital in our neighborhood. We were successful, gaining a moratorium on development by the hospital for the next 50 years.

Today change is coming to our neighborhood again. Central Avenue will go through Lincoln Park, bringing cars past our homes and park. We are concerned about what this might mean for our neighborhood, and what we can do to protect our interests. We are also hopeful that new development in the area, including the Cannon Cumberland site, will bring more opportunities for employment nearby.

For this reason, we are eager to participate in the 3rd Street Corridor initiative, along with Erlanger, UTC, Fort Wood, the Engel Foundation, the City, County and others. The community representative on the project governance committee will make sure the wants, needs and concerns of residents are heard, and will keep the neighbors informed as the planning process unfolds.

Thank you for this opportunity to help shape the future of our neighborhood.

Respectfully,



V.W. Hughley
President, Lincoln Park Neighborhood Association

423-264-1567



TENNESSEE DEPARTMENT OF ENVIRONMENT & CONSERVATION
DIVISION OF REMEDIATION
401 CHURCH STREET
4th FLOOR L & C ANNEX
NASHVILLE, TENNESSEE 37243

November 20, 2012

J. Wayne Cropp
President and CEO
The Enterprise Center
1250 Market Street, Suite 3020
Chattanooga, TN 37402

Re: Letter of Support for The Enterprise Center's Brownfields Area-Wide Planning Grant Application

Dear Mr. Cropp:

The Tennessee Department of Environment and Conservation (TDEC) is in support of The Enterprise Center's Brownfields Area-Wide Planning Grant proposal to conduct a brownfields planning effort for the Cannon Equipment/Cumberland Corporation catalyst site in Chattanooga, TN. The TDEC understands that the redevelopment of the Cannon Equipment/Cumberland Corporation brownfield will serve as a catalyst to community revitalization in the 3rd Street and Central Avenue corridors in the City of Chattanooga. This facility recently closed resulting in the loss of 70+ manufacturing jobs.

Under this project, TDEC understands that significant infrastructure investment is planned for this roadway project and the following activities will occur:

- The City of Chattanooga intends to construct an extension of Central Avenue to Riverfront Drive (State Highway 58) through the Cannon Equipment/Cumberland Corporation site. This roadway project is under design, with construction slated to begin in 2014. This roadway extension is a lifesaving project in that it will significantly decrease travel time for hospital-bound vehicles to the Level 1 Trauma Center and Children's Hospital at Erlanger Health Systems. Erlanger treats 500K patients annually and serves parts of Tennessee, North Carolina, Georgia, and Alabama.
- The City of Chattanooga is preparing to initiate assessment of the removal of WPA concrete lined channels at Citico Creek. Citico Creek is a 303(d) impaired stream that is directly adjacent to the Cannon Equipment site. Continuing environmental improvements, plus the creation of walking and bicycle paths from area neighborhoods to the world-class 10-mile Tennessee Riverwalk in the study area will open access for recreational opportunities that currently are impeded by the catalyst brownfield site.

The EPA Brownfields Area-Wide Planning Grant offers an excellent opportunity for Chattanooga to engage the entire neighborhood in developing a plan involving community networks, and important stakeholders in the ultimate design of a revitalized mixed-use community that will allow the development

of commercial corridors, produce jobs, create green spaces, preserve neighborhoods, and improve the local environment.

The TDEC is well aware of the environmental expertise of The Enterprise Center evidenced by its involvement in numerous Brownfields grants and projects and the commitment of the Chattanooga community to best-in-class sustainability practices. Accordingly, we are confident of their ability to manage this project. This project offers tremendous job creation, public health, and environmental opportunities for Chattanooga.

Sincerely,

A handwritten signature in black ink that reads "Andrew Shivas". The signature is fluid and cursive, with the first name "Andrew" and last name "Shivas" clearly legible.

Andrew Shivas
Brownfields Coordinator
Tennessee Department of Environment and Conservation
Division of Remediation

Andrew Shivas Direct dial 1-615-532-0912



Preserving the Past and Future of Engel Stadium

The Engel Foundation

P.O. Box 4244
Chattanooga, TN 37405

November 19, 2012

J. Wayne Cropp
President & CEO
The Enterprise Center, Inc.
Suite 3020
1250 Market Street
Chattanooga, TN 37402

Re: 3rd Street Corridor Planning Initiative

Dear Mr. Cropp:

The Engel Foundation is pleased to join with other key stakeholders in a community-driven planning process for Chattanooga's 3rd Street Corridor. The need to engage in such a process became apparent to us more than a year-ago as we began to envision the future of our aging but historic minor-league ballpark. We understand now as we did then, that the ballpark's future depends in large part on what surrounds it; the same could be said in reverse: a revitalized ballpark would breathe new life into an aging but historic district.

The mission of the Engel Foundation is to *Preserve the Past and Future of Engel Stadium*, an 82-year-old ballpark that was placed on the National Register of Historic Places in 2009. Our role in the area-wide planning process will be to develop, in conjunction with area stakeholders, a viable business plan for the restoration and redevelopment of Engel Stadium. The ballpark will serve as a focal point for cultural and community revival, an appropriate complement to the economic revitalization sparked by the Cannon Cumberland brownfield redevelopment site.

\$40,000 has been budgeted for business plan development, which will take place during the first six months of 2013. This will be the first opportunity for 3rd Street Corridor partners and the community to engage in planning for the area.

Engel Foundation Board member (John) Pem Guerry will represent the organization on the 3rd Street Corridor leadership team. As President of River City Company, Mr. Guerry directed redevelopment efforts in the downtown and Southside business districts.



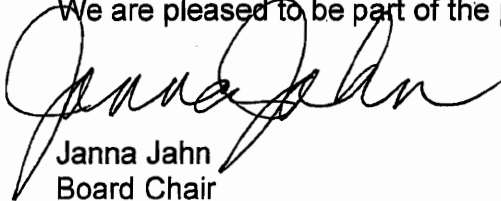
Preserving the Past and Future of Engel Stadium

The Engel Foundation

P.O. Box 4244
Chattanooga, TN 37405

He also served as Assistant Commissioner of Finance for the State of Tennessee. He is a past member of the Erlanger Board of Directors and has strong ties to another key stakeholder, the University of Tennessee at Chattanooga.

Chattanooga has a long track record of successful redevelopment of business and residential communities. Brownfields redevelopment has been integral to the city's transformation. Millions of dollars of future investment in the 3rd Street Corridor promises to bring more jobs and a better community for those who live and work there. We are pleased to be part of the process.



Janna Jahn
Board Chair

**Vice Chancellor for
Finance and Operations**

Dept. 5505
615 McCallie Avenue
Chattanooga, TN 37403-2598
Phone: (423) 425-4393
Fax: (423) 425-5291

November 29, 2012

Mr. Wayne Cropp
President and CEO, The Enterprise Center
1250 Market Street, Suite 3020
Chattanooga Tennessee 37402-4443

Dear Wayne:

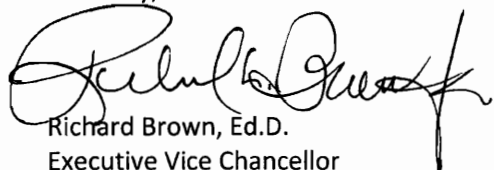
The University of Tennessee at Chattanooga is pleased to support your application to the Environmental Protection Agency for a planning grant to address the brownfield-impacted area of East 3rd Street and to serve as a partner in on-going efforts.

As a community-engaged university, recognized by both the Carnegie Foundation for the Advancement of Teaching and the President's Higher Education Community Service Honor Roll, we are highly involved in the city of Chattanooga, our immediate neighborhoods, and the greater metropolitan area. The stakes for economic and quality-of-life are truly interdependent.

This grant application complements and extends the University's current efforts in campus master planning as we consider the needs of the campus for the next ten years and the critical partnerships with the neighboring medical and residential communities necessary to bring the plan to fruition. A mixed-use community encompassing higher education, healthcare delivery, business, and research in the East 3rd Street area is our vision.

Should the grant application be funded, University representatives will serve on the task force, share our campus planning documents, assist in facilitating community outreach, and be enthusiastic advocates of its progress.

Sincerely,



Richard Brown, Ed.D.
Executive Vice Chancellor
Finance, Operations, and Instructional Technology

November 29, 2012

J. Wayne Cropp
President, The Enterprise Center
1250 Market Street
Chattanooga, TN 37402

Dear Mr. Cropp:

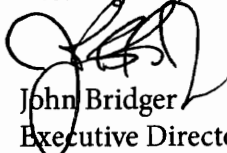
The Regional Planning Agency is committed to providing consultant management and planning process support for the Central Avenue – Third Street District Revitalization Plan. The Cannon/Cumberland industrial site, due to its strategic location along the proposed Central Avenue extension and proximity to the region's leading medical facility will be a key focal point for the district.

RPA is committing one Department Director and one Senior Planner on staff to assist with the ongoing planning for this project. The minimum committed total staff resources totals 196 staff hours for a total estimated cost of \$9,248. Karen Hundt, the Department Director assigned to this project has over 20 years of urban design and planning experience. She has successfully coordinated a number of key projects that have contributed to downtown's revitalization including: Downtown Streetscape Standards, North Shore Revitalization Plan, M.L.K. Neighborhood Plan, North Shore Design Standards, the Downtown Plan, and the Chattanooga Climate Action Plan.

Our staff team is ready and eager to provide support for this district plan which will shape the revitalization of an area that serves not only as a gateway to several major regional institutions, but will also provide an important role in creating living wage urban jobs and serve as a living laboratory for the implementation of sustainable principles.

We look forward to assisting with this project.

Best Regards,



John Bridger
Executive Director
Regional Planning Agency



THE ENTERPRISE CENTER

November 30, 2012

Mr. Don West
Environmental Management Support, Inc.
8601 Georgia Avenue, Suite 500
Silver Spring, MD 20910

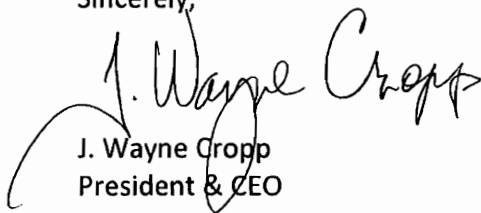
Re: EPA Area-Wide Planning Grant-Cannon Equipment/Cumberland Corporation
Catalyst Site – 3rd Street Corridor Project.

The Enterprise Center, Inc., is a 501 (c)(3) not-for-profit entity. We are a high-tech economic development agency and our focus areas are community revitalization, advanced transportation, alternative energy, and technology development and transfer.

The Enterprise Center, Inc., is pleased to commit \$25,000 as a cash contribution towards the Cannon Equipment/Cumberland Corporation Brownfields Catalyst project.

We are also pleased to commit our time, resources, and vision to this project.

Sincerely,



J. Wayne Cropp
President & CEO

Appendix 2: Other Factors Checklist

Name of Applicant: The Enterprise Center, Inc.

Please identify with an **X** any of the items below which may apply to your proposed BF AWP project area as described in your proposal. EPA may verify these disclosures and supporting information prior to selection and may consider this information during the evaluation process. Attach documentation to your proposal as applicable; otherwise, this information may not be considered in the grant selection process.

☒ Applicant's proposed BF AWP project is in an urban area, where the population of the overall community is 100,000 or more

☐ Applicant's proposed BF AWP project is in a rural area, where the population of the overall community is 20,000 or less and is not located in a Metropolitan Statistical Area

☐ Applicant is, or applicant will assist, a federally recognized Indian Tribe or an entity from a United States Territory

☒ Applicant proposes to serve an area designated as a federal, state or local Empowerment Zone or Renewal Community. To be considered, **applicant must attach documentation** which demonstrates this current designation.









☐ Applicant's proposed BF AWP project area has been affected by recent (2005 or later) natural disaster(s). To be considered, **applicant must identify here** the timeframe and type of natural disaster(s) that occurred:

☒ Applicant's proposed BF AWP project area contains recent (2007 or later) industry plant closures or other significant economic disruptions. To be considered, **applicant must identify here** the timeframe and name of the plant recently closed and jobs lost, or reason for other significant economic disruption within the BF AWP project area:

Cannon Equipment/Cumberland Corporation Plant closed on August 3, 2012; 71 jobs lost.

☒ Applicant is a recipient or a core partner of a HUD-DOT-EPA Partnership for Sustainable Communities (PSC) grant that is directly tied to the BF AWP project area, and can demonstrate that funding from a PSC grant has or will benefit the BF AWP project area. To be considered, **applicant must attach documentation** which demonstrates this connection to a HUD-DOT-EPA PSC grant.

Chattanooga (city), Tennessee community population:

People QuickFacts	Chattanooga	Tennessee
 Population, 2011 estimate	170,136	6,403,353
 Population, 2010 (April 1) estimates base	167,978	6,346,110
 Population, percent change, April 1, 2010 to July 1, 2011	1.3%	0.9%
 Population, 2010	167,674	6,346,105
 Persons under 5 years, percent, 2010	6.4%	6.4%
 Persons under 18 years, percent, 2010	20.8%	23.6%
 Persons 65 years and over, percent, 2010	14.7%	13.4%
 Female persons, percent, 2010	52.4%	51.3%

Source U.S. Census Bureau: State and County QuickFacts. Data derived from Population Estimates, American Community Survey, Census of Population and Housing, County Business Patterns, Economic Census, Survey of Business Owners, Building Permits, Consolidated Federal Funds Report, Census of Governments
 Last Revised: Tuesday, 18-Sep-2012 17:04:31 EDT

**Certification of
Consistency with
the RC/EZ/EC-IIs
Strategic Plan**

U.S. Department of Housing
and Urban Development

I certify that the proposed activities/projects in this application are consistent with the strategic plan of a federally-designated empowerment zone (EZ), designated by HUD or by the United States Department of Agriculture (USDA), the tax incentive utilization plan for an urban or rural renewal community (RC) designated by HUD, or the strategic plan for an enterprise community (EC-II) designation in round II by USDA.

(Type or clearly print the following information)

Applicant Name The Enterprise Center, Inc.

Name of the Federal
Program to which the
applicant is applying EPA Brownfields Area-Wide Planning Grant

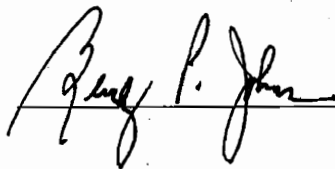
Name of RC/EZ/EC-II Chattanooga, TN Renewal Community

I further certify that the proposed activities/projects will be located within the RC/EZ/EC-II identified above and are intended to serve the residents of the designated area. (2 points)

Name of the
Official Authorized
to Certify the RC/EZ/EC-II Beverly P. Johnson

Title Administrator

Signature



Date (mm/dd/yyyy) 11/29/2012

EZ/RC Mapping:

Details for 950 Riverside Dr, Chattanooga, TN, 37403-1307

EZ/RC : Inside Chattanooga, TN Renewal Community.

County: Hamilton

Tract: 000400

Developable Site: No

Designation History: *

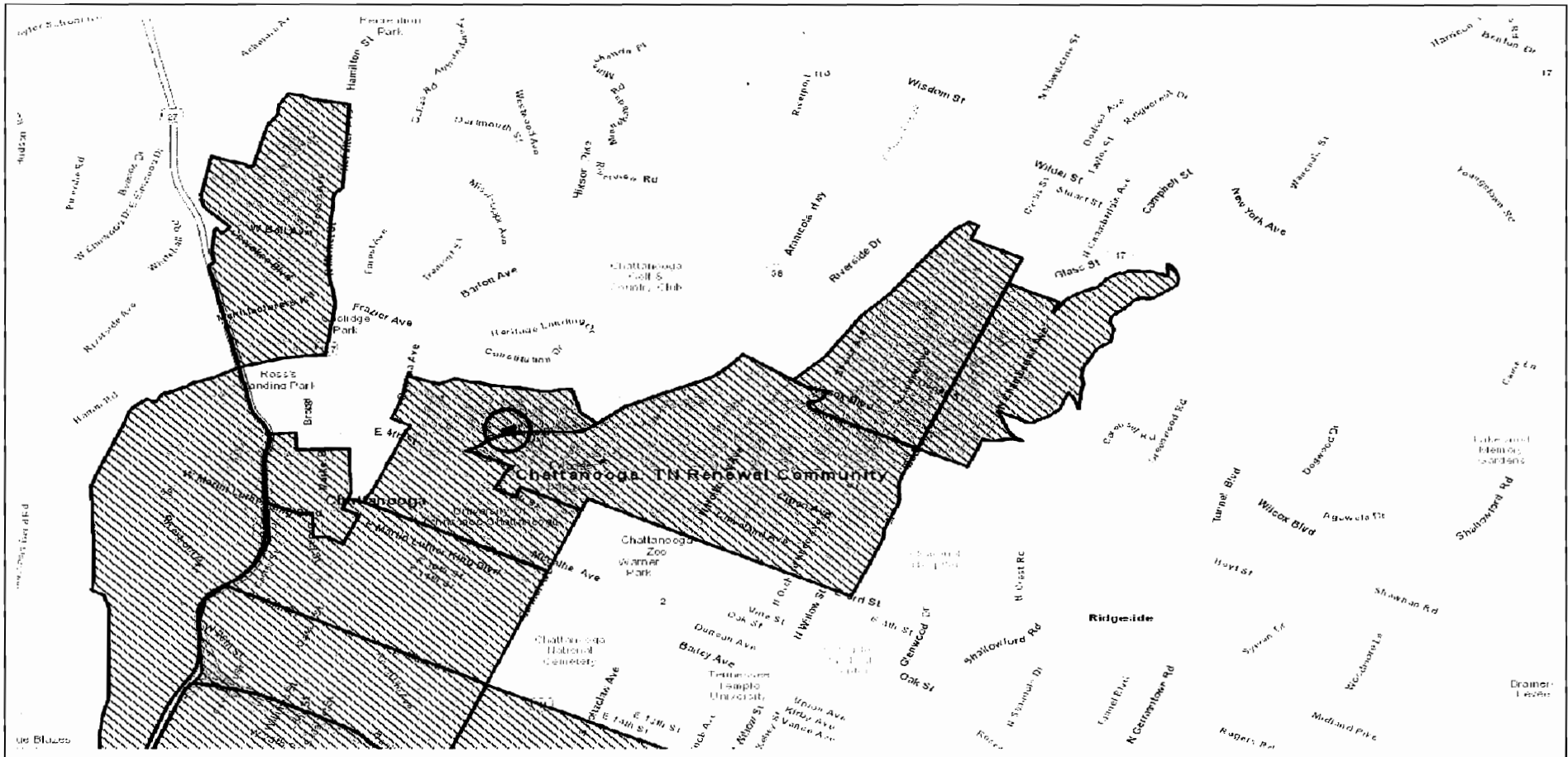
2009 - 2011: None

2002 - 2009: Renewal Community

1998 - 2001: None

1994 - 1997:

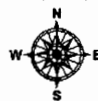
Urban/Rural: Urban



Scale: 1:36112



U.S. Department of Housing and Urban
Development 451 7th Street S.W.,
Washington, DC 20410 Telephone: (202)
708-1112 TTY: (202) 708-1455



U.S. Department of Housing
and Urban Development

Certification of Consistency with Sustainable Communities Planning and Implementation

I certify that the proposed activities/projects in this application are consistent with the Livability Principles advanced by communities in the FY2010 Sustainable Communities Regional Planning and HUD-DOT Challenge Grants.

(Type or clearly print the following information)

Applicant Name: **Enterprise Center 3rd Street Corridor Brownfield Planning Grant**

Name of the Federal Program to
which the applicant is applying: **Environmental Protection Agency**

Name of the Preferred Sustainable
Communities Status Community: **Southeast Tennessee Development District/CARCOG**

I further certify that:

- (1) The applicant is engaged in activities, that in consultation with the designated Point of Contact of the HUD designated Preferred Sustainability Status Communities, further the purposes of the regional planning grant program;
- (2) The applicant's proposed activities either directly reflect the Livability Principles cited and contained in HUD's General Section to the FY2011 NOFAs or will result in the delivery of services that are consistent with the goals of the Livability Principles;
- (3) The applicant has committed to maintain an on-going relationship with the HUD Preferred Sustainability Status Communities for the purposes of being part of the planning and implementation processes in the designated area.

Name of the Official Authorized to Certify the Preferred
Sustainable Communities Status meets
the above criteria to receive bonus points:

Beth Jones

Title: Executive Director

Organization: Southeast Tennessee Development District

Signature: Beth Jones

Date: 11/26/2012

(mm/dd/yyyy)

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>		
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		* 5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="The Enterprise Center, Inc."/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="20-0062024"/>		* c. Organizational DUNS: <input type="text" value="1496496700000"/>
d. Address:		
* Street1: <input type="text" value="1250 Market Street"/>		
Street2: <input type="text" value="Suite 3020"/>		
* City: <input type="text" value="Chattanooga"/>		
County: <input type="text" value="Hamilton County"/>		
* State: <input type="text" value="TN"/>		
Province: <input type="text"/>		
* Country: <input type="text" value="USA: UNITED STATES"/>		
* Zip / Postal Code: <input type="text" value="37402-4443"/>		
e. Organizational Unit:		
Department Name: <input type="text"/>		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Mr."/> * First Name: <input type="text" value="John"/>		
Middle Name: <input type="text" value="Wayne"/>		
* Last Name: <input type="text" value="Cropp"/>		
Suffix: <input type="text"/>		
Title: <input type="text" value="President & CEO"/>		
Organizational Affiliation: <input type="text" value="The Enterprise Center"/>		
* Telephone Number: <input type="text" value="423-425-3770"/>		Fax Number: <input type="text" value="423-757-4945"/>
* Email: <input type="text" value="cropp_w@theenterprisectr.org"/>		

Application for Federal Assistance SF-424

9. Type of Applicant 1: Select Applicant Type:

Nonprofit

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Environmental Protection Agency

11. Catalog of Federal Domestic Assistance Number:

66.814

CFDA Title:

Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements

*** 12. Funding Opportunity Number:**

EPA-OSWER-OBLR-12-06

* Title:

Brownfields Area-Wide Planning Grant

13. Competition Identification Number:

None

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Chattanooga; Hamilton County; State of Tennessee

*** 15. Descriptive Title of Applicant's Project:**

3rd Street Corridor Planning Initiative

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant TN-003

* b. Program/Project TN-003

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: 07/01/2013

* b. End Date: 06/30/2015

18. Estimated Funding (\$):

* a. Federal	\$ 200,000.00
* b. Applicant	\$ 0.00
* c. State	\$ 0.00
* d. Local	\$ 0.00
* e. Other	\$ 0.00
* f. Program Income	\$ 0.00
* g. TOTAL	\$ 200,000.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.) Applicant Federal Debt Delinquency Explanation**☐ Yes☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

* First Name: John

Middle Name: Wayne

* Last Name: Cropp

Suffix:

* Title: President & CEO

* Telephone Number: 423-425-3770

Fax Number: 423-757-4945

* Email: cropp_w@theenterprisecr.org

* Signature of Authorized Representative: 

* Date Signed: 11/30/2012

Application for Federal Assistance SF-424

* Applicant Federal Debt Delinquency Explanation

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No 0348-0044

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Area-Wide Planning	66.814	\$	\$	\$ 200,000.00	\$	\$ 200,000.00
2.						0.00
3.						0.00
4.						0.00
5 Totals		\$ 0.00	\$ 0.00	\$ 200,000.00	\$ 0.00	\$ 200,000.00
SECTION B - BUDGET CATEGORIES						
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1)	(2)	(3)	(4)		
a. Personnel	\$ 49,000.00	\$	\$	\$	\$ 49,000.00	
b. Fringe Benefits	13,720.00				13,720.00	
c. Travel	5,000.00				5,000.00	
d. Equipment					0.00	
e. Supplies	15,280.00				15,280.00	
f. Contractual	117,000.00				117,000.00	
g. Construction					0.00	
h. Other					0.00	
i. Total Direct Charges (sum of 6a-6h)	200,000.00	0.00	0.00	0.00	200,000.00	
j. Indirect Charges					0.00	
k. TOTALS (sum of 6i and 6j)	\$ 200,000.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 200,000.00	
7. Program Income	\$ 0.00	\$	\$	\$	\$ 0.00	

Authorized for Local Reproduction

Standard Form 424A (Rev 7-97)

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Area-Wide Planning	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
9.				0.00
10				0.00
11.				0.00
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 100,000.00	\$ 25,000.00	\$ 25,000.00	\$ 25,000.00	\$ 25,000.00
14. Non-Federal	0.00				
15 TOTAL (sum of lines 13 and 14)	\$ 100,000.00	\$ 25,000.00	\$ 25,000.00	\$ 25,000.00	\$ 25,000.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. Area-Wide Planning	\$ 100,000.00	\$	\$	\$
17.				
18.				
19				
20. TOTAL (sum of lines 16-19)	\$ 100,000.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges.	22. Indirect Charges:
23. Remarks:	

The Cannon Equipment/Cumberland Corporation Brownfield Catalyst Site – Third Street
Corridor Project

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The Cannon Equipment/Cumberland Corporation Brownfield Catalyst Site – Third Street
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